

# MicroRNA Target Signatures in Advanced Stage Neuroblastoma

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## 1. Introduction

Neuroblastoma is a solid cancer that arises from precursor cells of the sympathetic nervous system. It is known for its extreme heterogeneity, ranging from spontaneous regression to rapid progression to metastasis. Prognostic factors include age and genetic abnormalities, with greater likelihood of survival in infants, suggesting that neuroblastoma is a developmental disorder (Jiang et al., 2011). Considering that neuroblastoma accounts for 15% of all cancer deaths among children, novel therapeutic targets are clearly needed. Furthermore, early differentiation of children who will not develop advanced-stage neuroblastoma would reduce long-term side effects due to treatment, improving quality of life of survivors. Since neuroblastoma arises from precursor cells, understanding the mechanism by which it becomes advanced-stage cancer might also provide insight into how normal stem cells transform into cancer stem cells. This chapter examines factors differentiating advanced, metastatic neuroblastoma from low-risk, localized neuroblastoma.

Common genetic abnormalities in neuroblastoma include the genetic amplification (multiple DNA copies) of MYCN, chromosome 1p36 and 11q23 deletion, and the gain of chromosome 17q22 (review in Jiang et al., 2011). Since all of these genetic abnormalities correlate with poor clinical outcome, they have been investigated as potential driving factors in advanced stage neuroblastoma. However, as advanced neuroblastoma also occurs without such genetic abnormalities, the signatures of advanced neuroblastoma must be determined to understand the mechanism of its progression. For example, though amplification of transcription factor MYCN was linked early on with a poor outcome (Bordow et al., 1998), MYCN status cannot fully account for all advanced-stage cases. In addition to DNA-level abnormalities, expression level changes of transcripts in advanced stage have been studied to accurately predict the disease outcome (Asgharzadeh et al., 2006; Oberthuer et al., 2006). However, MYCN transcript levels could not dependably identify advanced stage neuroblastoma either. It is clear that multiple gene regulation should be considered in deciphering neuroblastoma progression (De Preter et al., 2010; Overthuer et al., 2010). To differentiate the underlying mechanism of progression, several studies have assessed DNA abnormality and transcription level changes together, comparing, for example, all

transcription levels of advanced-stage neuroblastoma with and without MYCN amplification. Recent research on transcript-level changes has incorporated microRNA expression changes (Lin et al., 2010).

MicroRNAs (miRNAs) are endogenous regulatory RNAs ranging in size from 16 to 25 nucleotides that are processed from hairpin-structured precursors by the enzyme Dicer (Kim, 2005). Once a mature single strand miRNA becomes part of a miRNA-RiboNucleoProtein complex (miRNP), it mostly functions as a negative regulator of other genes' protein production by directly interacting with the target genes' mRNAs in sequence-complementary fashion. Though originally identified in terms of its translational repression function in animal, it also helps degrade target mRNAs themselves. So far, more than a thousand miRNAs have been identified in human (<http://mirbase.org>) (Kozomara & Griffiths-Jones, 2010), some of them potentially regulating about 200 genes (Lim et al., 2005). As with transcription factors and other endogenous genes with regulatory roles, expression of each miRNA is strictly controlled and exhibits characteristic patterns based on biological context, such as tissue type or developmental stages. In line with the critical roles of miRNAs in biological processes, their deregulation is frequently observed in many diseases, including neuroblastoma.

Neuroblastoma-related miRNAs have been researched mainly in regard to two biological processes, anti-apoptosis (tumorigenesis), and epithelial mesenchymal transition (EMT: metastasis related). TP53 is a well-known transcription factor that induces apoptosis and miR-34 family transcription (He et al., 2007). In neuroblastoma, TP53 mutation is uncommon, whereas deletion on chromosome 1p36 (where mir-34a is located) frequently occurs. Another frequent deletion site of 11q23 coincides with mir-34b and mir-34c chromosomal positions, implying the importance of the mir-34 family in neuroblastoma. On the other hand, MYCN amplification is frequent in neuroblastoma and several miRNAs are induced by MYCN, including the mir-17-92 cluster, which has been shown to be significantly upregulated in MYCN-amplified cells (Schulte et al., 2008) and has been identified with a direct anti-proliferation function (Matsubara et al., 2007). In neuroblastoma, upregulation of the mir-17-92 cluster is correlated with the obstruction of the TGF-beta signaling pathway, thereby preventing apoptosis (Mestdagh et al., 2010a). MYCN also achieves anti-apoptotic function by downregulating other miRNAs which prevent cell proliferation. Low levels of MYCN allow for the over-expression of miR-184, which has been shown to reduce neuroblastoma tumor growth (Foley et al., 2010; Tivnan et al., 2010). While MYCN affects transcription of certain miRNAs, some miRNAs can downregulate MYCN translation, such as miR-34 (Christoffersen et al., 2010). let-7 and miR-101 are also found to target MYCN and inhibit proliferation of MYCN-amplified neuroblastoma cells (Buechner et al., 2011). Similarly, Foley et al. demonstrate that miR-10a and miR-10b indirectly downregulate MYCN by targeting NCOR2, though the intermediate processes between NCOR2 and MYCN need further study (Foley et al., 2011). Therefore, miR-34 family deletion and MYCN amplification in neuroblastoma together respond to tumorigenesis through anti-apoptotic process.

Metastasis may also be brought on by altered levels of miRNA. Guo et al. show that 54 different miRNAs are significantly altered in metastatic as opposed to primary neuroblastoma tumors (Guo et al., 2010). A more specific study showed that overexpression of miR-524-5p decreases the invasive potential of neuroblastoma cells (Bray et al., 2011).

Recently, the miR-34 family has been identified as blocking EMT by downregulating SNAI1 (Kim et al., 2011), showing its dual function of blocking tumorigenesis and metastasis. Haug et al. found that miR-92 downregulates tumor suppressor DKK3 (Haug et al, 2011), which normally inhibits the Wnt signaling pathway. The canonical Wnt signaling can induce EMT mostly by degrading E-cadherin (Heuberger & Birchmeier, 2010). All this implies that a large network stretching across miR-34, MYCN, and the miR-17-92 cluster is responsible for both tumorigenesis and metastasis in neuroblastoma (Fig. 1). Hence, miRNAs hold promise as biomarkers for diagnostic and therapeutic purposes.

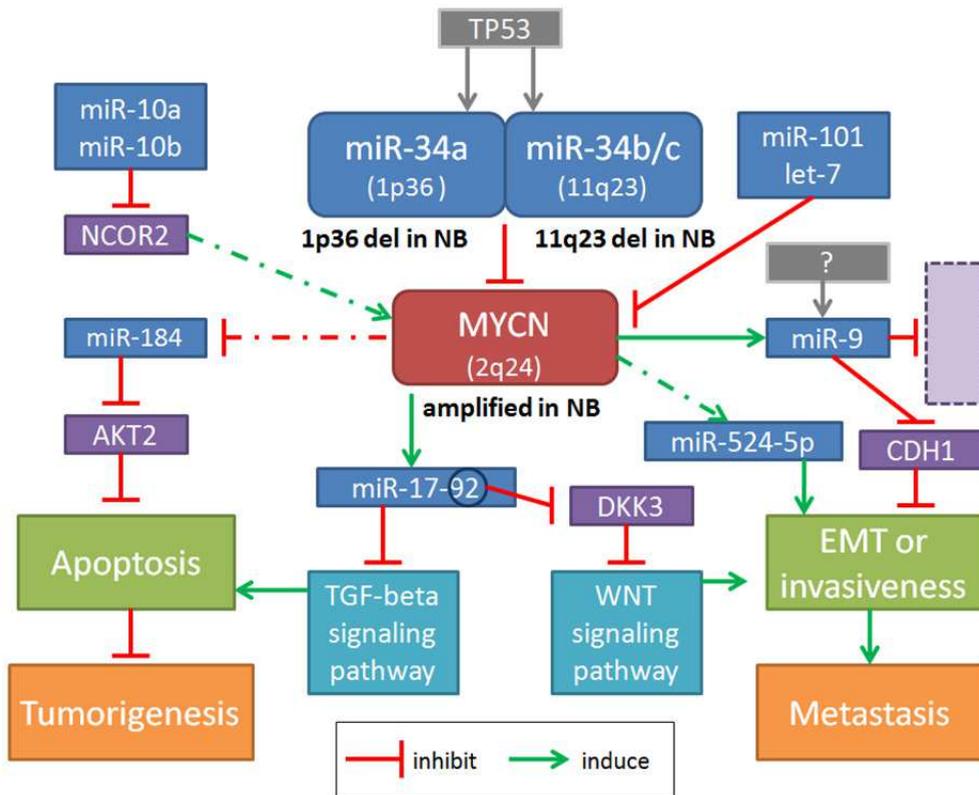


Fig. 1. Schematic diagram of miRNA network in neuroblastoma tumorigenesis and metastasis, including miR-9 from this study. The dotted box represents our six predicted targets in this study.

The problem remains that miRNAs' small size and similar sequences often make their global expression data noisier than mRNA data. Given that miRNAs regulate the expression of target mRNAs, correlating miRNA with mRNA expression could increase biomarker

signals, leading to biomarkers with superior resolution and potentially revealing master regulators. To realize this potential, we need accurate miRNA target identification. While other areas of miRNA research have undergone continuous development, target prediction has lagged behind, partly due to the imperfect miRNA-mRNA bindings found in animals. As experimental and evolutionary evidence indicates that the 5'-end of miRNAs (the nucleotide position 1-8 at the 5'-end, also called the seed sequence) is important for recognition of target sequences in 3'-UTRs (untranslated regions) of mRNA, many computational algorithms utilize only 6-8 nucleotides (nt) of the ~22 mer miRNA to predict target mRNAs. However, there exist many genome-wide sequences matching this short stretch of 6-8 nt, resulting in a large number of false positives among the predicted targets and thus invalidating miRNA-mRNA correlations. Although a database of miRNAs and their experimentally verified targets exists (Papadopoulos et al., 2009), the list therein is still far from comprehensive.

We have identified a new class of miRNA targets, called miBridge, in which the 5'- and 3'-end of a miRNA can simultaneously interact with the 3'-UTR and 5'-UTR of a single mRNA (Lee et al., 2009). We will use the terms "3UTR:5emiR" (a miRNA seed sequence and its target site on the 3'-UTR: conventional knowledge) and "5UTR:3emiR" (the 3'-end of a miRNA—the other side of the seed region—and its recognition site in the 5'-UTR: our finding). Whereas other studies have not found global miRNA interactions with the 5'-UTR due to searching against the seed region (5UTR:5emiR), we have found significant 5UTR:3emiR interactions (Ajay, et al., 2010; Lee et al., 2009). This sequence-specific miRNA function through 5UTR:3emiR has been experimentally validated (Lee et al., 2009). In addition to these previous reports establishing the validity and utility of miBridge, we have recently generated a list of genome-wide miBridge targets using version 1 (v.1) prediction parameters. Interestingly, most known miRNA and mRNA (both 5'-UTR and 3'-UTR annotated) have miBridge interactions. Considering both ends of miRNA in the target prediction, miBridge targets are usually fewer in number (about 1/2 to 1/10 of other predictions), while having specific functions which align well with previous experimental data.

In this chapter, we introduce a new approach to identifying miRNAs which differentiates high-risk (advanced) from low-risk neuroblastoma based on expression data and miBridge target predictions. When we applied the method to neuroblastoma patient datasets of mRNA and miRNA expression levels obtained from the Vandesompele group (Mestdagh et al., 2010b), we found miR-9 to be a consistent biomarker, all six predicted targets being downregulated and their expressions negatively correlated with miR-9 expression. This is interesting since MYCN is one of miR-9's transcription factors, though our analysis did not consider MYCN-amplification status in the advanced stage patient data group. Previous research has shown that miR-9 upregulation correlates with breast cancer metastasis (Ma et al., 2010), supporting our finding of miR-9 as a new biomarker for advanced neuroblastoma. Furthermore, we also found miR-9 to be significantly upregulated in short-term survival patients within the advanced stage neuroblastoma group in other publicly available data (Scaruffi et al., 2009), confirming the validity of our finding. To increase the specificity of differentiating high-risk and short-term survival groups, further research is needed to identify additional miR-9 activating factors besides MYCN as well as the common biological pathways of miR-9 target genes in neuroblasts (Fig. 1).

## 2. MicroRNA and target gene signature in advanced neuroblastoma

Expression levels of mRNA depend on various factors including DNA copy number, DNA methylation, histone acetylation, active transcription factors, splicing factors, and regulating miRNAs. Here we analyze mRNA expression in a miRNA-centric manner, comparing it with miRNA expression data to increase the specificity of advanced neuroblastoma signatures. This is based on our hypothesis that if a miRNA's function is important and causal to progress neuroblastoma and the expression of the miRNA is up (down)-regulated in the advanced stage, its targets will be preferentially down (up)-regulated in the advanced neuroblastoma. Therefore, we will define a miRNA and its targets as important to the advanced neuroblastoma if such cases are found. Note that this method proceeds without bias of previous knowledge and simply searches for consistent expression data across different datasets (mRNA and miRNA expression), thus allowing novel findings.

The Vandesompele group, which has recently identified correlations between MYCN-induced miRNAs and poor outcome in MYCN-activated tumors (Mestdagh et al., 2010b), kindly provided us matching mRNA and miRNA expression patterns with an annotation file. We also downloaded the mRNA data, disease state, and MYCN amplification status from the Gene Express Omnibus (Series accession number GSE21713). The disease states followed the International Neuroblastoma Staging System's four stages. Stages 1 and 2 indicating the cancer is still localized, while stages 3 and 4 indicate metastasis of the original tumor. To understand global genetic differences in advanced neuroblastoma, we defined two groups for comparison: stage 4 as one group and stage 1 and 2 as another, regardless of MYCN amplification status. Stage 3 data were excluded in order to obtain maximal contrast between the non-metastatic stages and metastatic stage 4. By comparing miRNA and mRNA expression of these two groups, we expected to obtain a more specific advanced neuroblastoma signature, which might not be apparent through mRNA or miRNA analysis alone.

### 2.1 mRNA expression analysis

#### 2.1.1 Differently expressed mRNAs in advanced neuroblastoma

In total, there are 30 primary tumor sample data from 14 stage 4 patients and 16 stage 1 and 2 patients. Briefly, the Vandesompele group derived the expression data as follows: after each sample expression dataset was obtained using GeneChip Human Exon 1.0 ST Arrays (Affymetrix), all exon data were combined to transcript clusters (hg18/core exons), to obtain expression information per gene after normalization according to the RMA-sketch algorithm using Affymetrix Power Tools. We used these RMA normalized data calculated by the Vandesompele group to obtain differentially expressed genes between the two groups. Student t-tests were performed using Microsoft Excel functions and mRNA lists with p-values less than 0.05 were prepared as up- and down-regulated mRNAs using HUGO Gene Nomenclature Committee (HGNC) gene symbol annotation. We ignored transcripts without gene symbol annotation or empty data points among patient samples. If more than two probe sets corresponded to one gene symbol, we chose the probe set with lower p-values. Among a total 372 up-regulated and 689 down-regulated mRNAs with gene symbols having  $p < 0.05$ , the top 50 genes with the greatest fold changes are shown in Table 1.

Down-Regulated Gene	p-value	Log2 (Fold Change)	Up-Regulated Gene	p-value	Log2(Fold Change)
ALCAM	0.012519	-1.1404	ACTA2	0.017706	0.84008
APBA1	0.000975	-1.00703	BIRC5	0.007473	0.88797
ATP2B4	0.008721	-1.02324	CCNA2	0.009386	0.79357
CADM3	0.002402	-1.24665	CDC45L	0.007403	0.75931
CDH6	0.049003	-1.01205	CDCA5	0.008474	0.77314
DOC2B	0.013732	-1.02395	CMBL	0.004642	0.72441
DRD2	0.001234	-1.08816	DDX1	0.046072	1.22142
ECEL1	0.003074	-1.10837	E2F3	0.000912	0.74161
EPB41L3	0.008477	-1.37384	FN1	0.008058	1.03848
HIST1H1A	0.016193	-1.34667	FOXM1	0.008946	0.9346
HS6ST3	0.000384	-1.10939	GJC1	0.002895	0.82971
LRRTM4	0.040988	-1.31354	HIST1H2BM	0.037272	0.85818
NRCAM	0.031584	-1.04967	HMGB2	0.006813	0.883
NTRK1	0.001072	-1.79752	MAD2L1	0.012955	0.92231
PGM2L1	0.010537	-1.14954	MYBL2	0.019576	0.82346
PLXNC1	0.000939	-1.08949	MYCN	0.019589	1.23078
PMP22	0.003898	-1.62031	NAG	0.020134	1.26792
PRKCA	0.011104	-1.04588	ODC1	0.001326	1.3045
PRPH	0.003777	-1.41955	PAICS	0.000609	0.74121
PTN	0.00069	-1.19001	PHGDH	0.026183	0.88181
RAB3C	0.025466	-1.00737	RRM2	0.00773	1.46016
REEP1	0.002232	-1.00576	SLC16A1	0.000721	0.93447
SCG2	0.009003	-1.21159	TYMS	0.016238	0.95479
SCN9A	0.0207	-1.02774	VCAN	0.019634	0.99934
SYN3	0.006658	-1.04893			
TMEM176A	0.006741	-1.11877			

Table 1. Top 50 genes with the greatest fold changes among differently expressed mRNAs in advanced neuroblastoma ( $p < 0.05$ ).

### 2.1.2 Mapping differentiated mRNAs to regulating miRNAs

For all mRNAs identified as differentially regulated, their regulating miRNAs were predicted using the miBridge miRNA target prediction method (v.1). We then calculated enrichment scores for miRNAs based on their target enrichment tests among the differentially regulated mRNAs. Score over 0 means that the predicted miRNA is significant in the system, 1 being optimal. Table 2 shows the predicted regulating miRNAs (within the miRNA list in the array measured) with target enrichment score over 0. Within the mir-17-92 cluster, hsa-miR-18\* targets are enriched in the down-regulated mRNAs, supporting our hypothesis and miRNA target predictions (though miR-92a is not included in this  $p < 0.05$  list, inclusion of genes with less than 15 empty values in patient samples yields a miR-92a score of 0.04 and the prediction that it is up-regulated in advanced neuroblastoma

Regulating miRNA	Enrichment score	Predicted as
hsa-miR-9	0.03642	up
hsa-miR-18a*	0.3787	up
hsa-miR-136	0.3765	up
hsa-miR-152	0.03642	up
hsa-miR-185	0.7552	up
hsa-miR-205	0.49874	up
hsa-miR-214	0.46397	up
hsa-miR-221	0.73754	up
hsa-miR-324-3p	0.49392	up
hsa-miR-326	0.97012	up
hsa-miR-328	0.86602	up
hsa-miR-346	0.51208	up
hsa-miR-500	0.03642	up
hsa-miR-610	0.08688	up
hsa-miR-650	0.81604	up
hsa-miR-489	0.64438	down

Table 2. Predicted miRNAs as potential regulators of advanced neuroblastoma (enrichment score  $> 0$ ).

### 2.2 miRNA expression analysis

The miRNA expression data were obtained from the same patient samples analyzed in the mRNA expression: total 14 stage 4 patients and 16 stage 1 and 2 patients. We used the RMA normalized data with 312 mature miRNA annotations as provided by the Vandosomepele group. Student t-test was performed using Microsoft Excel functions; miRNA lists of score  $> 0$  are shown in Table 3.

up-regulated miRNA	p-value	Log <sub>2</sub> (fold change)	down-regulated miRNA	p-value	Log <sub>2</sub> (fold change)
hsa-miR-9	0.037541	1.173707	hsa-miR-15a	0.044916	-0.49254
hsa-miR-9*	0.018107	1.235894	hsa-miR-24	0.030286	-0.44341
hsa-miR-18a	0.02853	0.570961	hsa-miR-26a	0.007846	-0.53493
hsa-miR-18a*	0.001933	0.58714	hsa-miR-26b	0.011224	-0.44942
hsa-miR-19a	0.038493	0.588543	hsa-miR-30a-3p	0.023514	-0.68173
hsa-mir-92	0.006916	0.782683	hsa-miR-30b	0.00698	-0.50628
hsa-miR-105	0.036113	1.681312	hsa-miR-30e-3p	0.023054	-0.77332
hsa-miR-320	0.023005	0.362074	hsa-miR-95	0.012503	-0.91388
hsa-miR-375	0.03906	1.901862	hsa-miR-103	0.0395	-0.35021
hsa-miR-517a	0.045293	1.423221	hsa-miR-125b	0.00271	-0.89665
hsa-miR-520g	0.024751	1.757529	hsa-miR-128a	0.016545	-0.73381
hsa-miR-526b*	0.049281	0.601139	hsa-miR-137	0.000167	-1.78508
hsa-miR-645	0.014262	0.758181	hsa-miR-140	0.010729	-0.3409
			hsa-miR-148b	0.009921	-0.56818
			hsa-miR-149	0.002849	-1.13549
			hsa-miR-190	0.048211	-1.23233
			hsa-miR-204	0.008341	-2.21371
			hsa-miR-215	0.005819	-1.68041
			hsa-miR-216	0.042686	-1.38497
			hsa-miR-218	0.045402	-0.86703
			hsa-miR-324-3p	0.034135	-0.52073
			hsa-miR-324-5p	0.028667	-0.74833
			hsa-miR-326	0.048664	-0.71389
			hsa-miR-330	0.010354	-0.98399
			hsa-miR-331	0.004691	-0.73239
			hsa-miR-340	0.010086	-0.77428
			hsa-miR-488	0.01028	-1.08969
			hsa-miR-491	0.042597	-0.68084
			hsa-miR-628	0.000553	-1.00498

Table 3. Differentially expressed miRNAs in the advanced neuroblastoma (student t-test  $p < 0.05$ )

We found that two miRNAs predicted as up-regulated with enrichment score  $> 0$ , miR-9 and miR-18a\*, are actually up-regulated in microarray experiments with student t-test  $p < 0.05$ . Since miR-18a\* is a minor strand (less present than miR-18a) within the mir-17-92 cluster, we conclude that miR-9 is the most consistent miRNA in terms of its expression and its targets' expression in our analysis. Fig. 2 shows the box plots of miR-9 expressions in advanced and low-risk stage patient samples together with five other miRNAs whose expression values are most significantly changed in up- and down-regulated miRNAs.

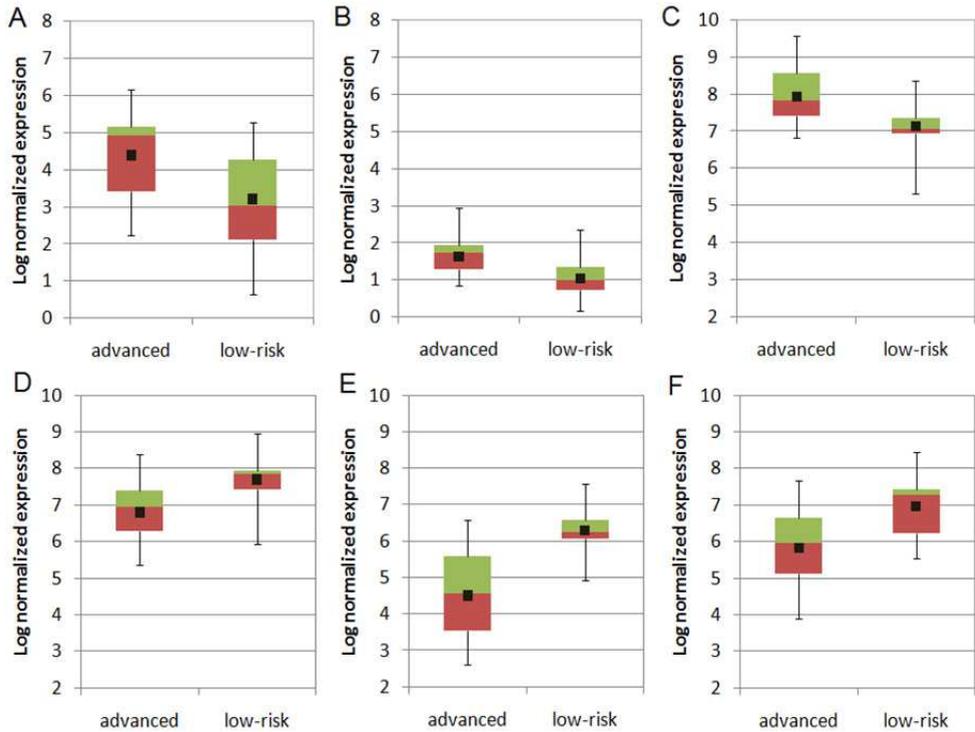


Fig. 2. Box plots of miR-9 (A), miR-18a\* (B), miR-92 (C), miR-125b (D), miR-137 (E), and miR-149 (F) expression between advanced and low-risk stage neuroblastoma patient samples.

### 2.3 Correlation of mRNA and miRNA expression data

We found miR-9 to have the most consistent signal in terms of miRNA and mRNA expression level changes in advanced neuroblastoma. Note that miRNA expression pattern alone does not justify further in-depth analyses of miR-9 since other miRNAs have higher fold changes or lower p-value. Our approach thus provides a new way to prioritize important miRNAs. Interestingly, miR-9's seven predicted targets genes are found only in the down-regulated genes. However, this does not mean that expressions of miR-9 and its target genes are negatively correlated across entire samples. Fig. 3 shows the Pearson product moment correlation coefficient of miR-9 and its seven target genes across the 30 patient samples. For context, correlations between miR-9 and all mRNA expression are also shown. The correlations of miR-9 and target genes stand out from all other correlations, six of the seven targets being negatively correlated with miR-9. The six negatively correlated predicted targets are AGPAT4, BTBD9, GABBR1, KCNK10, LRRTM4, and S100PBP. Among them, LRRTM4 is in Table 1, containing top 50 greatest fold change genes. Fig. 4 shows the scatter plots of the two most negatively correlated miR-9 target genes.

Though miR-9 can target multiple genes at the same time, the expression of each target gene varies from person to person, so that its function on each target gene might vary

among patients. In our analysis, six genes are predicted as functional targets in the neuroblastoma, potentially responsible for disease progression. As an example, some outliers shown in the circle in Fig. 4B are no longer outliers in the S100PBP case in Fig. 4A. Therefore, rather than one miRNA or one miRNA and its target, collective miR-9 targets and miR-9 might allow more accurate prediction of whether a neuroblastoma will progress to advanced stage.

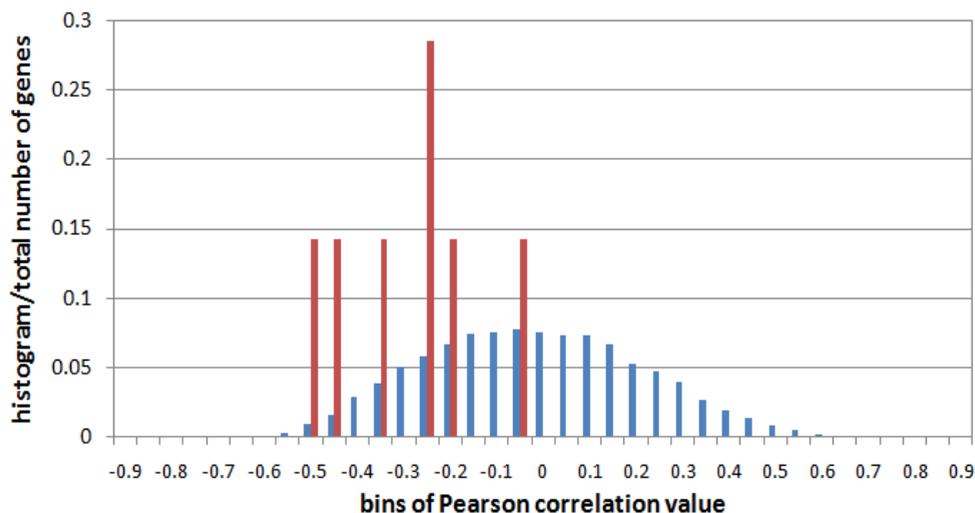


Fig. 3. Histogram of Pearson correlation values between miR-9 and gene expressions. The red bars show miR-9 target genes found in the down-regulated genes; blue bars represent all genes measured in the microarray experiments (total 1,4159 genes excluding ones with blank data > 5 among samples).

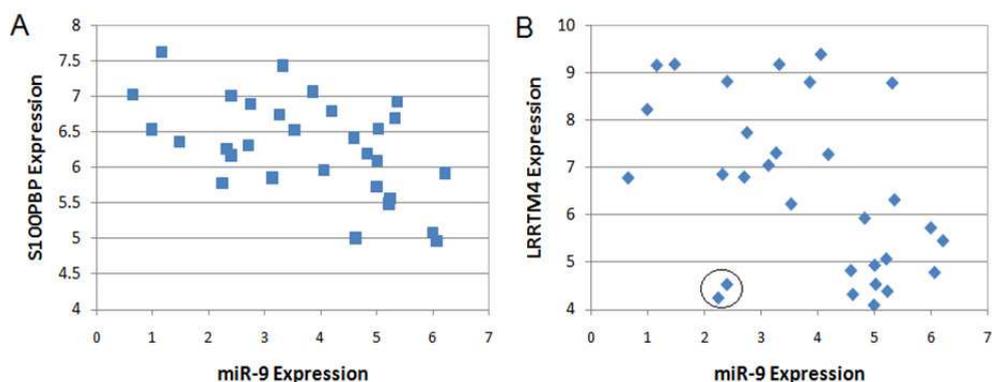


Fig. 4. Scatter plots showing miR-9 and target gene expressions for 30 patient samples. Pearson correlation coefficient of miR-9 and S100PBP is -0.53 (A) and that of miR-9 and LRRM4 is -0.48 (B).

### 3. miR-9 signature in short - and long - lived patients

Scaruffi et al. published research on non-coding RNA expressions with regard to outcome in high-risk neuroblastoma (Scaruffi et al., 2009). Among 31 high-risk, stage 4 neuroblastoma samples, miRNA expressions came from 17 short-term survivors (dead within 36 months from diagnosis) and 14 long-term survivors (alive with an overall survival time > 36 months) were deposited in GEO (Series accession number GSE16444). We downloaded the data, which were  $\log_2$ -transformed and quantile normalized, after obtaining the raw data using miRNA microarray System protocol v. 1.5 (Agilent Technologies). Since they removed probes with less than 50% of detected spots across all arrays, many low signals were not present. We used the downloaded data to identify differential miRNAs between short- and long-term survivor groups. Significance was calculated with a student's t-test (unpaired, two-tailed, unequal variance); miRNAs up- and down-regulated in short-term survivors with p-values less than 0.05 are shown in Table 4.

up-regulated miRNA	p-value	Log <sub>2</sub> (fold change)	down-regulated miRNA	p-value	Log <sub>2</sub> (fold change)
hsa-miR-9	0.0377472	0.68625181	hsa-miR-22	0.040065	-0.990610191
hsa-miR-210	0.0284823	1.147684424	hsa-miR-139-3p	0.0478034	-0.803202819
hsa-miR-425	0.0127439	0.62221312	hsa-miR-181c*	0.0497988	-1.015817709
hsa-miR-758	0.0080157	1.01630988	hsa-miR-302a	0.0338064	-0.915468934
hsa-miR-885-5p	0.0117879	1.125425787	hsa-miR-502-3p	0.0481067	-0.69939469
hsa-miR-885-3p	0.0118758	0.669348934	hsa-miR-886-3p	0.0424204	-1.691328238
hsa-miR-877	0.0276158	0.571214174			
hsa-miR-936	0.0388439	0.656436824			

Table 4. Differentially expressed miRNAs in short-term survivors compared with long-term survivors. All patients had advanced neuroblastoma.

Due to the distinct difference between diseased and healthy status, the overall number of differentially expressed miRNAs with a certain p-value cutoff may be much greater than that within the disease group. Within the disease group, though neuroblastoma has diverse outcomes, differentiating stages within the neuroblastoma is more difficult than differentiating disease from normal. To increase differentiating power, we did not include stage 3 neuroblastoma data in our section 2 analysis. Here, we further differentiate a narrower range within stage 4. Therefore, it is not surprising that fewer miRNAs were differentiated here than between advanced and low-risk neuroblastoma. Also, it is common for different research groups to produce differing lists of significantly changed genes.

However, if underlying causes exist for advanced neuroblastoma which further lead to short-term survival, we might identify them from a persistent signal in various contexts. We find miR-9, the only miRNA common between Table 3 and 4, to be such a consistent signal. We want to emphasize that miR-9 must be evaluated in connection with target gene expressions. It was found to be the most consistent miRNA in terms of down-regulating target mRNAs when induced. Moreover, its expression differs between short- and long-term survivors. When it comes to identifying miRNA signature from expression data, it is therefore crucial to compare a miRNA's target expression patterns with its own expression. While many studies focus on global correlations between miRNA and mRNA expressions without a clear regulating matrix, our method of assessing consistency clearly helps pinpoint the signature miRNA in the disease progression.

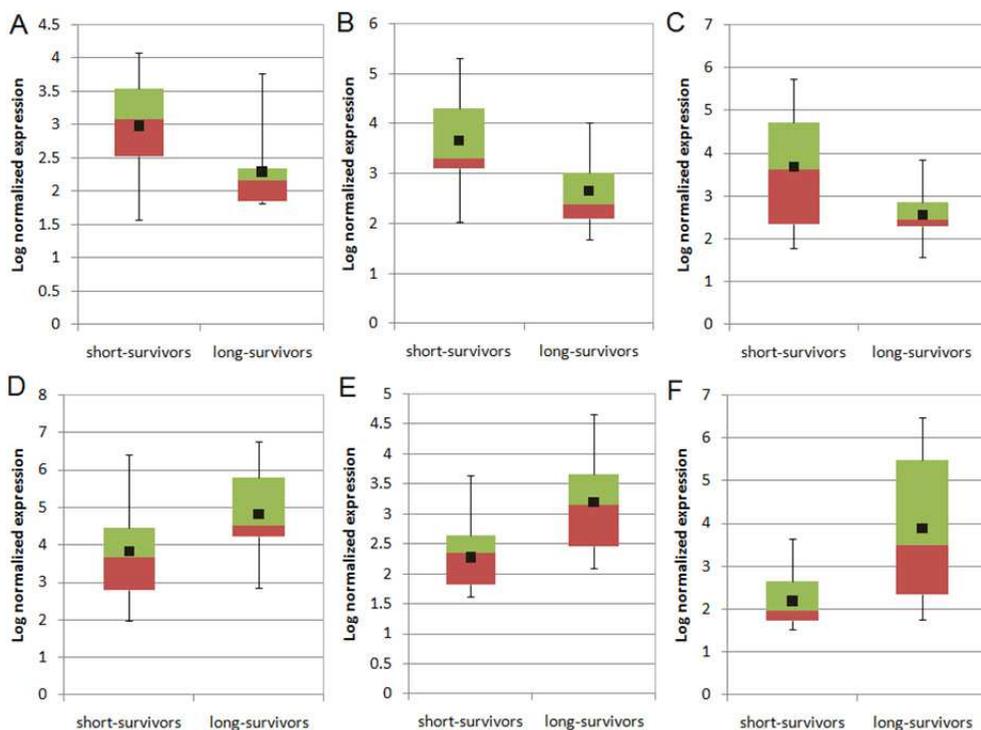


Fig. 5. Box plots of miR-9 (A), miR-758 (B), miR-885-5p (C), miR-22 (D), miR-302a (E), and miR-886-3p (F) expressions of neuroblastoma between short- and long-term survivors in advanced stage patients.

Fig. 5 shows the box plot of miR-9 and five other miRNA expressions (chosen in order of smaller p-values) of samples from short- and long-term survivors in the Table 4. Though the p-value of miR-9 is not as low as others, its differentiating power is close to that of miR-758. In Fig. 2, the miR-9 level itself may not be powerful enough to differentiate advanced stage from low-risk neuroblastoma. Here, the data suggest that the broader range of miR-9 expression in advanced stage is due to its differentiating power between two sub-groups of

advanced stage patients (absolute number of normalized data in each dataset is not meaningful). In terms of early prognostic power, miR-9 and its targets hold high promise. Further investigation using larger samples is needed.

#### 4. miR-9 functional model in advanced neuroblastoma

Recently, Ma et al. reported that miR-9 directly targets E-cadherin (CDH1), leading to increased cell motility and invasiveness in breast cancer (Ma et al., 2010). Though in a different cell type, since the function of CDH1 in solid tumors is the same, we expect miR-9 to function similarly in the neuroblastoma. Moreover, as a MYCN-activated miRNA, miR-9 fits well within the neuroblastoma miRNA networks (Fig. 1) as a function of developing advanced metastasized neuroblastoma. Our identification of miR-9 and its targets thus makes sense functionally as an early prognostic marker for developing high mortality neuroblastoma. Fig. 1 includes miR-9 and CDH1 as a functional model of developing advanced stage neuroblastoma.

#### 5. Conclusion

We have used our new method to analyze mRNA and miRNA expression data to identify signature miRNA and target genes in stage 4 neuroblastoma. To obtain maximal contrast between the non-metastatic stages and metastatic stage 4, we excluded stage 3 data and combined stage 1 and 2 as low-risk. When we compared advanced and low-risk stage neuroblastoma, miR-9 related expressions had the most consistent data between mRNA and miRNA expression. We also confirmed that six out of the seven predicted targets were negatively correlated with miR-9 expression across entire samples. Furthermore, miR-9 expression was significantly up-regulated in samples from short-term survivors compared with those from long-term survivors within the same advanced-stage neuroblastoma group. In addition to these data-driven analyses, note that miR-9 has been identified as inducing metastasis in breast cancer by targeting E-cadherin. Therefore, our claim of expressions of miR-9 and its targets as a signature of advancing neuroblastoma fits well with previous studies. Further investigation with a larger number of samples is needed.

#### 6. Acknowledgment

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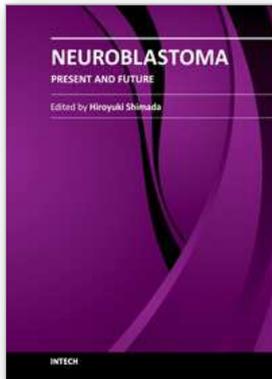
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## **Neuroblastoma - Present and Future**

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Neuroblastoma, once called "enigmatic", due to "unpredictable" clinical behaviors, is composed of biologically diverse tumors. Molecular/genomic properties unique to the individual tumors closely link to the clinical outcomes of patients. Establishing risk stratification models after analyzing biologic characteristics of each case has made a great success in patient management. However, the trend of improving survival rates in neuroblastoma over the last 30 years has started to level off, and currently available treatment modalities have almost reached to their maximized intensity. Furthermore, aggressive treatment causes significant long-term morbidities to the survivors. We really need to make the next step to the level of personalized medicine with more precise understanding of neuroblastoma biology. This book includes useful data and insights from the world's experts in this field. I believe this book can make an excellent contribution to all the investigators working hard and fighting for the children stricken by this disease.

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