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1. Introduction

IEEE 802.16 provides last mile broadband wireless access. Also called as WiMAX, IEEE 802.16 is rapidly being adopted as the technology for Wireless Metropolitan area networking (MAN). WiMAX operates at the microwave frequency and each WiMAX cell can have coverage area anywhere between 5 to 15 kilometers and provide data rates up to 70Mbps.

IEEE 802.16m has been submitted to ITU as a candidate for 4G. With data rates of 100Mbps for mobile users and 1Gbps for fixed users, IEEE 802.16 holds a lot of promise as a true 4G broadband wireless technology.

This chapter introduces a user-based framework in WiMAX. In section 2, user-based bandwidth allocation algorithms are introduced. In section 3, user-based packet classification mechanism is explored. In section 4, user-based call admission control algorithm is explored.

2. User-based bandwidth allocation

IEEE 802.16 (WiMAX) provides differentiated Quality of Service (QoS) (IEEE 802.16 2004) (IEEE 802.16e 2005) (Vaughan-Nichols 2004). This is achieved by having five different types of service classes. Each of these service classes caters to specific types of data. Unsolicited Grant Services (UGS) supports real-time data streams that generate fixed size packets at periodic intervals. For example, Voice over IP without silence suppression, T1/E1. Extended Real Time Polling Services (eRTPS) is designed to support real-time service flows that generate variable sized data packets on periodic basis, like VoIP with silence suppression. Real Time Polling Services (RTPS) supports real-time data streams that generate variable size packets on periodic basis. For example Multimedia formats like an MPEG video. Non Real Time Polling Services (nRTPS) supports delay tolerant data streams generating variable size data packets, like FTP. Best Effort (BE) supports data streams which do not require any service level. Ex Web browsing, Email etc.

User keeps generating the data. This data gets queued into one of the five service classes based on the type of data and the quality of service requirements for the data. Once the data...
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gets queued, the device needs to request for bandwidth so that the data packets can be transmitted. Classically, the widely used bandwidth allocation algorithms have followed contention based logic. The device contends for the wireless medium. If no other device is contending for the bandwidth then the device transmits the data. Algorithms like ALOHA, Slotted ALOHA, CSMA, CSMA-CD use contention based bandwidth allocation. Even IEEE 802.11 (Wi-Fi) uses contention based bandwidth allocation mechanism called CSMA-CA.

WiMAX supports demand based bandwidth allocation mechanism. Each Mobile Station (MS) is allocated small amount of bandwidth that is used by the MS to request for additional bandwidth. Based on the availability of bandwidth and the type of service requesting for bandwidth, the Base Station (BS) allocates bandwidth. MS requests bandwidth on a per service class basis and the BS allocates bandwidth on a per-SS basis. Various types of contention based bandwidth request/allocation mechanisms have been proposed in WiMAX. Aggregate bandwidth request mechanism is proposed in (Tao & Gani, 2009). Instead of sending separate bandwidth request for each service class, a single request is sent. Service class bandwidth allocation is proposed in (Wee & Lee, 2009). Delay intolerant service classes are provided bandwidth on priority. Subsequently delay tolerant service classes are allocated bandwidth. Adaptive bandwidth request scheme is proposed in (Liu & chen, 2008). Contention free bandwidth request opportunities are provided within the contention based request opportunities for some SS. Predictive bandwidth allocation algorithm is proposed in (Peng et al, 2007). Based on the current arrival pattern, bandwidth is requested beforehand for future packets. Channel aware bandwidth allocation algorithm is proposed in (Lin et al, 2008). Another form of adaptive bandwidth allocation algorithm is proposed in (Chiang et al, 2007). The TDD frame is dynamically adjusted based on the amount of uplink and downlink data. In (Park, 2009) bandwidth request algorithm is proposed that takes both the current size of the queue and the deadline assigned to each packet. CDMA bandwidth request code based bandwidth allocation mechanism is proposed in (Lee et al, 2010). The CDMA bandwidth request code is chosen randomly, but in (Lee et al, 2010) the bandwidth request code is intelligently chosen so that the code itself indicates the amount of bandwidth needed by the MS. This reduces the number of control message transactions between the MS and SS. In (Rong et al, 2007) two algorithms are proposed namely adaptive power allocation (APA) and call admission control (CAC). The two algorithms work in tandem to allocate bandwidth to the MS.

All the algorithms proposed above are service class based bandwidth request/allocation algorithms. MS shall send bandwidth request for all its service classes. Bandwidth is then allocated based on the service class. All UGS service classes from different users are allocated bandwidth first then the RTPS service flows are allocated bandwidth followed by eRTPS. Next, the delay tolerant service class nRTPS is allocated bandwidth. Finally BE service class is allocated bandwidth. This method of bandwidth allocation treats all MS alike. If there are 10 MS in the network and if all of them are generating BE traffic then all the BE service classes are allocated bandwidth on a first come first serve basis. Of these 10 users, there may be some users who may wish to pay more if their BE traffic is treated on priority. So, users can be segregated into different groups and bandwidth can be allotted to the users based on the group to which they belong to. In this section we shall explore three user based bandwidth allocation algorithms. Fig. 1 shows service class based bandwidth allocation mechanism.
2.1 Differentiated Bandwidth Allocation Mechanism (DBAM)

There shall be three different categories of users/MS as listed in Table 1.

<table>
<thead>
<tr>
<th>User Category</th>
<th>Priority Value</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>High-Priority User/MS/SS</td>
<td>1</td>
<td>Users who will receive higher priority for their traffic within each of the WiMAX service class. High-Priority users could be those users who are ready to pay more to enjoy higher QoS.</td>
</tr>
<tr>
<td>Low-Priority User/MS/SS</td>
<td>2</td>
<td>Users who will receive lower priority for their traffic for each of the WiMAX service class. Low-Priority users could be those users who wish to pay less and settle for lower quality of service.</td>
</tr>
<tr>
<td>Regular User/MS/SS</td>
<td>0</td>
<td>Users who fall in-between High-Priority and Low-Priority users.</td>
</tr>
</tbody>
</table>

Table 1. Classification of Users into three different categories.
Bandwidth allocation is done for all the service class for the three types of users as per fig. 2

From the algorithm in fig. 2, we see that when the BS receives bandwidth requests for BE traffic from High-Priority, Regular and Low-Priority users, BS shall allocate bandwidth first to the high-priority user then the regular user and finally to the low-priority user (Kumar et al. 2011a).

2.1.1 Implementation of BDAM

The WiMAX Network Reference architecture is given in the fig. 3.
Each network service provider (NSP) has an Authentication, Authorization and Accounting (AAA) server. This server maintains the information about the users. The Access Service Network (ASN) interacts with the AAA server to obtain the information about the user.

The AAA server shall maintain a table of MAC address for the users and the priority value associated with the user. A sample state of the table could be as shown in Table-2

<table>
<thead>
<tr>
<th>MAC Address</th>
<th>Priority Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>12:34:56:78:9a:bc</td>
<td>2</td>
</tr>
<tr>
<td>bc:9a:78:56:34:12</td>
<td>1</td>
</tr>
<tr>
<td>11:11:11:11:11:11</td>
<td>0</td>
</tr>
<tr>
<td>33:33:33:33:33:33</td>
<td>2</td>
</tr>
<tr>
<td>88:77:66:11:22:44</td>
<td>1</td>
</tr>
</tbody>
</table>
| ........................ | ................

Table 2. Sample state table of priority values for users.

When the MS initiates the ranging process, it sends the Ranging Request (RNG-REQ). Upon receiving the ranging request, BS shall query the AAA server to obtain the priority value associated with the user. BS shall store the priority value for the user in its local cache.

Subsequently, when the MS makes bandwidth request for any of its service flows, BS shall check the priority of the MS. Based on the priority value, bandwidth shall be allotted to the service flow.

2.1.2 Analytical modeling

Table 3 lists the notations used for analytical modeling.

<table>
<thead>
<tr>
<th>Symbol</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>ertps_pri_bw_req(p)</td>
<td>Bandwidth needs of pth ertPS service flow of priority SS.</td>
</tr>
<tr>
<td>ertps_reg_bw_req(p)</td>
<td>Bandwidth needs of pth ertPS service flow of regular SS.</td>
</tr>
<tr>
<td>ertps_npr_bw_req(p)</td>
<td>Bandwidth needs of pth ertPS service flow of low-priority SS.</td>
</tr>
<tr>
<td>ertps_pri_bw_allot(p)</td>
<td>Bandwidth allotted to the pth ertPS service flow of priority SS.</td>
</tr>
<tr>
<td>ertps_reg_bw_allot(p)</td>
<td>Bandwidth allotted to the pth ertPS service flow of regular SS.</td>
</tr>
<tr>
<td>ertps_npr_bw_allot(p)</td>
<td>Bandwidth allotted to the pth ertPS service flow of low-priority SS.</td>
</tr>
<tr>
<td>tot_bw</td>
<td>Total bandwidth available on the uplink for the current frame</td>
</tr>
<tr>
<td>tr</td>
<td>Minimum Reserved traffic rate</td>
</tr>
<tr>
<td>avl_bw</td>
<td>Amount of unallocated bandwidth available in the frame.</td>
</tr>
<tr>
<td>m</td>
<td>Number of high-priority ertPS service flows</td>
</tr>
<tr>
<td>n</td>
<td>Number of regular ertPS service flows</td>
</tr>
<tr>
<td>o</td>
<td>Number of low-priority ertPS service flows</td>
</tr>
</tbody>
</table>

Table 3. Notations used in Analytical Modeling.
Throughput modeling is described below. For the purpose of brevity bandwidth allocation is explained for the three types of users for eRTPS service flow. Similar equations can be derived for the other service flows.

BS allots bandwidth to the high-priority eRTPS service flows as per eqn 1.

\[
ertps\_pri\_bw\_allot(p) = \begin{cases} 
ertps\_pri\_bw\_req(p) & \text{if } ertps\_pri\_bw\_req(p) < tr \\
tr & \text{if } tr \leq ertps\_pri\_bw\_req(p) < avl\_bw \\
avl\_bw & \text{if } avl\_bw \leq ertps\_pri\_bw\_req(p) \\
tr & \text{otherwise}
\end{cases}
\]

Once bandwidth is allotted to a high-priority eRTPS service flow, the leftover bandwidth is calculated as per eqn 2.

\[
avl\_bw = tot\_bw - \left( \sum_{j=1}^{x} ertps\_pri\_bw\_allot(j) \right)
\]

\[x \leq m,\]

After all the high-priority eRTPS service flows are allotted bandwidth, bandwidth is allotted to the regular eRTPS service flows as per eqn 3.

\[
ertps\_reg\_bw\_allot(p) = \begin{cases} 
ertps\_reg\_bw\_req(p) & \text{if } ertps\_reg\_bw\_req(p) < tr \\
tr & \text{if } tr \leq ertps\_reg\_bw\_req(p) < avl\_bw \\
avl\_bw & \text{if } avl\_bw \leq ertps\_reg\_bw\_req(p) \\
tr & \text{otherwise}
\end{cases}
\]

After allocating bandwidth to a regular eRTPS service flow, leftover bandwidth is calculated as per eqn. 4

\[
avl\_bw = avl\_bw - \left( \sum_{j=1}^{x} ertps\_reg\_bw\_allot(j) \right)
\]

\[x \leq n,\]

Once we are through with the regular eRTPS service flows, bandwidth is allotted to the low-priority eRTPS service flows as per eqn 5.
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\[ e_{rtsps\_npr\_bw\_allot}(p) = \begin{cases} 
    e_{rtsps\_npr\_bw\_req}(p) & \text{if } e_{rtsps\_npr\_bw\_req}(p) < tr \\
    \text{and } e_{rtsps\_npr\_bw\_req}(p) < avl\_bw & \\
    tr & \text{if } tr \leq e_{rtsps\_npr\_bw\_req}(p) \\
    \text{and } e_{rtsps\_npr\_bw\_req}(p) < avl\_bw & \\
    avl\_bw & \text{if } avl\_bw \leq e_{rtsps\_npr\_bw\_req}(p) \\
    \text{and } avl\_bw \leq tr & \\
    tr & \text{otherwise} 
\end{cases} \]  

(5)

After allotting bandwidth to the jth low-priority eRTSPS service flow, leftover bandwidth is calculated as per eqn. 6

\[ avl\_bw = avl\_bw - \left( \sum_{j=1}^{x} e_{rtsps\_npr\_bw\_allot}(j) \right) \]  

(6)

At this point bandwidth has been allotted to all the eRTSPS connections. The above method of bandwidth allocation is repeated for RTPS, nRTPS and BE. This ensures that for each service flow, bandwidth is allotted to high-priority users first followed by regular users and finally the low-priority users.

2.1.3 Simulation results

In order to evaluate DBAM, simulations were carried out on NS-2. Light WiMAX module (LWX) (Chen 2008) was used to simulate the WiMAX environment in NS-2. Strict priority bandwidth allocation algorithm of LWX was modified to accommodate DBAM algorithm. Simulations were carried out with the parameters from table 4.

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Uplink data rate</td>
<td>10 Mbps</td>
</tr>
<tr>
<td>OFDMA Frame Duration</td>
<td>5 ms</td>
</tr>
<tr>
<td>OFDMA symbol time</td>
<td>100.94 (\mu)s</td>
</tr>
<tr>
<td>eRTSPS data arrival rate</td>
<td>1 Mbps</td>
</tr>
</tbody>
</table>

Table 4. Simulation parameters for DBAM.

Simulation network was setup such that at any point in time, 33% of the SS are priority SS, next 33% are regular SS and the final 1/3rd are low-priority SS. Each SS generates only eRTSPS traffic. Uplink data is generated at the rate of 1Mbps. Downlink ftp traffic was also added. Downlink data is generated at the rate of 1Mbps.

Simulation results for throughput are shown in Fig. 4.
Fig. 4. Simulation results for throughput for the three types of SS.

When the number of MS is 9 each MS has sufficient bandwidth to transmit its data. But, when the number of SS is more than 9, there isn’t sufficient bandwidth to support all SS. DBAM provides bandwidth to high-priority SS first then regular SS and the leftover bandwidth is shared by low-priority SS. When the number of SS crosses 13, bandwidth for regular SS keeps reducing. Theoretical Results are shown in Figure 5.

Fig. 5. Theoretical results for DBAM.
Comparing figure 4 and figure 5 we see that the simulation results closely follow the theoretical results.

By introducing DBAM we can provide graded quality of service to the users. This is a win-win situation for both users and operators. The users win because their data gets prioritized and hence they get a better quality of service. The service providers stand to gain because they get higher revenue for the same amount of data being transmitted. Its just that the order of bandwidth allocation is modified.

2.2 Enhanced Differentiated Bandwidth Allocation Mechanism (eDBAM)

In case of DBAM, the order of bandwidth allocation follows the below sequence:

High-priority RTPS > Regular priority RTPS > Low-priority RTPS > High-priority nRTPS > Regular priority nRTPS > Low-priority nRTPS > High-priority BE > Regular priority BE > Low-priority BE

Basically DBAM ensured that the order of service class is maintained and within the service class we can have graded users. However there is scope for further optimization. We can have seven different ways in which the bandwidth can be allotted. Table 5 and Table 6 list the seven different ways in which bandwidth can be allotted. Each column in the table represents a unique way of bandwidth allotment. The order of allotment is from top to bottom (Kumar et. al, 2011b).

<table>
<thead>
<tr>
<th>DBAM</th>
<th>eDBAM Method 1</th>
<th>eDBAM Method 2</th>
<th>eDBAM Method 3</th>
</tr>
</thead>
<tbody>
<tr>
<td>High-priority RTPS</td>
<td>High-Priority RTPS</td>
<td>High-priority RTPS</td>
<td>High-priority RTPS</td>
</tr>
<tr>
<td>Regular priority RTPS</td>
<td>High-priority nRTPS</td>
<td>Regular priority nRTPS</td>
<td>High-priority nRTPS</td>
</tr>
<tr>
<td>Low-priority RTPS</td>
<td>High-priority BE</td>
<td>Low-priority RTPS</td>
<td>Regular priority RTPS</td>
</tr>
<tr>
<td>High-priority nRTPS</td>
<td>Regular priority RTPS</td>
<td>High-priority nRTPS</td>
<td>Low-priority RTPS</td>
</tr>
<tr>
<td>Regular priority nRTPS</td>
<td>Low-priority nRTPS</td>
<td>High-priority BE</td>
<td>Regular priority nRTPS</td>
</tr>
<tr>
<td>Low-priority nRTPS</td>
<td>Regular priority nRTPS</td>
<td>Regular priority nRTPS</td>
<td>Low-priority nRTPS</td>
</tr>
<tr>
<td>High-priority BE</td>
<td>Low-priority nRTPS</td>
<td>Low-priority nRTPS</td>
<td>High-priority BE</td>
</tr>
<tr>
<td>Regular priority BE</td>
<td>Regular priority BE</td>
<td>Regular priority BE</td>
<td>Regular priority BE</td>
</tr>
<tr>
<td>Low-priority BE</td>
<td>Low-priority BE</td>
<td>Low-priority BE</td>
<td>Low-priority BE</td>
</tr>
</tbody>
</table>

Table 5. Method 1 to Method 3 of eDBAM.
Table 6. Method 4 to Method 7 of eDBAM.

In eDBAM (for example Method 2), low priority service class of high priority user (ex: Low-Priority BE) can be allocated bandwidth ahead of high-priority service class of regular/low-priority user (Regular/Low priority nRTPS). This out of turn allocation of bandwidth improves the throughput for even low priority service class (BE) for high-priority users.

2.2.1 Implementation

Implementation of eDBAM is similar to DBAM. The AAA server shall maintain a mapping of MAC address to the priority value associated with the MAC address. When a MS sends RNG-REQ to BS, BS shall obtain the priority value associated with the MS and allocated bandwidth based on one of the seven methods proposed for eDBAM. BS does not switch between the seven different methods of eDBAM. Each BS shall implement one of the seven methods and stick to that method throughout its operation.

2.2.2 Analytical modeling

Throughput modeling follows similar patterns as that of DBAM. Only the order of bandwidth allocation shall change. Delay modeling is explained in this section. The notations used for delay modeling are given in Table 7.
Table 7. Delay modeling parameters.

For BE packets, Packet arrivals are assumed to have a Poisson arrival.

\[ P_n(t) = \frac{(\lambda t)^n}{n!} e^{-\lambda t} \]  
(7)

We know that, Service Utilization = Mean arrival rate / Mean service rate. i.e.

\[ \rho = \frac{\lambda}{\mu} \]  
(8)

For BE traffic (exponential distribution), mean number of packets for a service flow for a particular SS is given in (9)

\[ L = \frac{\rho}{1-\rho} \]  
(9)

Queuing delay for a service flow for a particular SS is given in (10)

\[ W = \frac{L}{\lambda} = \frac{\rho}{1-\rho} \]  
(10)

For RTPS and nRTPS we assume constant arrival pattern. So mean number of packets for a service flow for a particular SS is given in (11)

\[ L = \frac{\rho(2-\rho)}{2(1-\rho)} \]  
(11)

Hence the queuing delay for packets that have constant arrival pattern is:

\[ W = \frac{L}{\lambda} = \frac{\rho(2-\rho)}{2(1-\rho) \lambda} \]  
(12)

2.2.3 Simulation of eDBAM

Simulation was carried out using NS 2.29. LWX was used to simulate wimax on top of ns2. Simulations were carried out for method-2 for eDBAM. Simulation parameters used, are given in Table-8.
### Table 8. Simulation parameters for eDBAM.

Simulation setup was done such that at any given time the network consists of 1/3rd High-priority SS, 1/3rd Regular-SS and 1/3rd low-priority SS. Each SS is assumed to have RTPS, nRTPS and BE traffic. Downlink ftp traffic at 1 Mbps was introduced.

#### 2.2.3.1 Throughput results

Simulation was done to compare the throughput for High-Priority, Regular and Low-Priority BE traffic. Fig. 6 shows the simulation results. A comparison with theoretical results is also provided.

![Fig. 6. Throughput for BE traffic for the three different types of user.](image-url)

From fig. 6 we see that as the number of SS in the network increases, the throughput form Low-priority BE drops. Subsequently the throughput reduces for regular BE and finally the throughput for High-priority BE. Since method-2 prioritized high-priority BE ahead of Regular nRTPS and Low-priority nRTPS, simulations were carried out for the service flow. Results of simulation are shown in Fig.7.
2.2.3.2 Delay results

Simulations were carried out to find the delay incurred by the service flows. Fig. 8 shows the delay for High-Priority BE, Regular BE and Low-Priority BE.

![Fig. 7. Throughput for High Priority BE v/s Regular nRTPS v/s Low priority nRTPS.](image1)

![Fig. 8. Delay for High-Priority BE v/s Regular BE v/s Low-Priority BE.](image2)
Packet delay was measured for High-Priority BE, Regular nRTPS and Low-Priority nRTPS. Results of simulation are shown in Fig. 9.

![Diagram showing delay results for High Priority BE, Regular nRTPS, and Low Priority nRTPS.]

From Fig. 8 and Fig. 9 we see that using eBBAM, packets from high-priority SS are subjected to lesser delay compared to regular and low-priority SS.

### 2.2.3.3 DBAM v/s eDBAM

Simulations were done to compare the throughput and delay for DBAM and eDBAM. Fig. 10 shows the throughput comparison for DBAM and eDBAM. We consider method-2 for eDBAM.

From Fig. 10 we observe that the throughput for DBAM drops down much before eDBAM. This is because in case of eDBAM, high-priority BE is allotted bandwidth ahead of regular nRTPS and low-priority nRTPS. Figure 11 shows the simulation results for delay. Again eDBAM fairs better than DBAM.
Fig. 10. Throughput comparison for eDBAM and DBAM for high priority BE.

Fig. 11. Delay results for eDBAM and DBAM for High Priority BE.

2.3 Network Aware Differentiated Bandwidth Allocation Mechanism (nDBAM)

Though eDBAM improves the throughput, the algorithm is indifferent to the current network conditions. Especially, if eDBAM method-1 is implemented, it could result in delays for regular and low-priority RTPS. Users might face jitter when they are viewing videos. This might not be desirable. nDBAM takes care of current network conditions before
allocating bandwidth to the different service flows. The steps for nDBAM algorithm as given below.

**Step 1.** Users shall be allotted bandwidth as per one of the selected Seven methods of eDBAM.

BS keeps monitoring the network condition. BS could poll the SS to know their current queue length and the average queuing delays faced for each service flow. BS and SS can use the ranging mechanism to pass the information between them.

**Step 2.** If the average queuing delay exceeds the QoS limits for the service class then the BS shall fallback from eDBAM to DBAM bandwidth allocation mechanism.

**Step 3.** BS checks with the SS if the average queuing delay has reduced. If yes then BS sticks to DBAM. If the average queuing delay is still high then BS falls back to First-come-first-serve (FCFS) method of bandwidth allocation.

**Step 4.** BS keeps monitoring the queuing delay. If the delay reduces and stays within acceptable limits then BS moves back to eDBAM algorithm.

### 2.3.1 Implementation

BS does ranging at periodically with the SS. Ranging process is generally done to adjust the power levels and the clock skews. During the ranging process, BS can also request for the current queue state for the different service flows. As a part of ranging response (RNG-RSP) The SS can send the queue state to BS. The information is generally sent as a TLV (Type-Length-Value) header. A new header will be required to send the queue state information. Table 9 lists an example for the TLV.

<table>
<thead>
<tr>
<th>Type</th>
<th>Length</th>
<th>Value</th>
<th>Scope</th>
</tr>
</thead>
<tbody>
<tr>
<td>Unused TLV type</td>
<td>1</td>
<td>Average Queue delay for Service flow</td>
<td>RNG-RSP</td>
</tr>
</tbody>
</table>

Table 9. TLV header used to send Queue state.

BS receives the RNG-RSP from all the SS for each of their service class. BS then checks if the queuing delay is within the QoS limits for the service class. If not then it means that the eDBAM algorithm is introducing delay for regular and low-priority users. So, BS shifts from eDBAM to DBAM.

### 3. User based packet classification algorithm

We know that WiMAX supports 5 different types of service classes i.e. UGS, RTPS, eRTPS, nRTPS, BE. When a user generates data (ex: video packets) they are classified and placed into one of the 5 queues at SS (ex: Video packets are classified as RTPS packets and placed in the RTPS queue). As the user keeps generating data packets, these are classified and placed in one of the queues.

This method of classification is application specific. i.e. if the user keeps generating video packets they are always classified as RTPS packets and placed in RTPS queue and if the user generates web browsing/email packets they are generally classified as BE packets and places in BE queue. Packet classification is not user specific. i.e. there may be some users
who are ready to pay more if their browsing packets are treated as high priority packets i.e. the browsing packets generated by such users are treated as RTPS packets instead of BE packets and placed in RTPS queue.

There may be some users who may wish to pay less and still enjoy broadband facility. For such users we may want to downgrade even their high priority packets like RTPS packets and treat them as low priority BE packets. A third set of users may fall in-between the high-priority and low-priority users.

There shall be 8 different ways of classifying the packets as given in Table 10 (Lagare & Das 2009).

<table>
<thead>
<tr>
<th>Priority</th>
<th>Bit Value</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>000</td>
<td>802.16e’s existing packet classification mechanism is retained. i.e. real time packets will be placed in RTPS queue. Non real time packets are placed in nRTPS queue and delay tolerant packets are placed in BE queue.</td>
</tr>
<tr>
<td>1</td>
<td>001</td>
<td>RTPS, nRTPS and BE packets are classified as real-time packets and placed in RTPS queue.</td>
</tr>
<tr>
<td>2</td>
<td>010</td>
<td>nRTPS packets are promoted as RTPS packets and all BE packets are promoted as nRTPS packets</td>
</tr>
<tr>
<td>3</td>
<td>011</td>
<td>Only the BE packets are promoted as RTPS packets. Other Packets are placed in their respective priority queues.</td>
</tr>
<tr>
<td>4</td>
<td>100</td>
<td>Only the BE packets are promoted as nRTPS packets. Other Packets are placed in their respective priority queues.</td>
</tr>
<tr>
<td>5</td>
<td>101</td>
<td>RTPS, nRTPS and BE packets are classified as delay tolerant packets and moved to BE queue.</td>
</tr>
<tr>
<td>6</td>
<td>110</td>
<td>RTPS packets will be blocked. This priority level can be set to a certain set of users so that these users can be blocked from transmitting RTPS packets like MPEG videos.</td>
</tr>
<tr>
<td>7</td>
<td>111</td>
<td>RTPS and nRTPS packets will be blocked. This priority can be set to very low priority users.</td>
</tr>
</tbody>
</table>

Table 10. Eight different ways of packet classification.

3.1 Implementation

When the MS enters the network, it sends the RNG-REQ to BS. On receiving the range request, BS shall check the priority value associated with the SS. This priority value is passed to the SS in the RNG-RSP. On receiving the priority value the SS shall classify the packets as per table 10.

3.2 Simulation

Simulations were carried out to observe the improvement in throughput by implementing user based packet classification. Priority 3 scenario of table 11 was simulated. The simulation network consists of one priority MS whose packets are prioritized as per Priority
3. Other MS are regular users whose packets are prioritized as per priority 1. Table 11 lists the simulation parameters used.

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Uplink Bandwidth</td>
<td>2Mbps</td>
</tr>
<tr>
<td>Uplink Frame Duration</td>
<td>1msec (2000 bits)</td>
</tr>
<tr>
<td>Number of Uplink frames per second</td>
<td>1000/Sec</td>
</tr>
<tr>
<td>Maximum Uplink bandwidth per SS per Frame</td>
<td>400 bits/frame</td>
</tr>
<tr>
<td>Minimum Reserved Traffic Rate for RTPS</td>
<td>240Kbps</td>
</tr>
<tr>
<td>Arrival Pattern for RTPS Traffic</td>
<td>Variable bit rate packets at regular interval of time</td>
</tr>
<tr>
<td>Arrival Pattern for BE Traffic</td>
<td>Poisson Arrival</td>
</tr>
<tr>
<td>Average arrival Rate for RTPS traffic</td>
<td>160Kbps</td>
</tr>
<tr>
<td>Average arrival Rate for BE traffic</td>
<td>72Kbps</td>
</tr>
</tbody>
</table>

Table 11. Simulation Parameters.

Figure 12 shows the simulation results for BE traffic when the priority MS and regular MS generate both RTPS and BE packets. For priority MS, the BE packets are classified as RTPS packets.

Fig. 12. BE data (in Kbps) transmitted by priority-SS compared to regular-SS.

From Fig. 12 we see that, when the number of MS in the network are less than 8, both Priority MS and non-priority MS are able to transmit all their data. When the number of MS in the network goes beyond 8, there isn’t enough bandwidth to support the BE traffic for non-priority users. So the average throughput for non-priority user drops. Since priority MS
request bandwidth for their BE traffic as RTPS traffic, priority MS continue to receive bandwidth. Beyond 12 SS there isn’t enough bandwidth to support elevation of BE traffic as RTPS traffic. So throughput for even priority-MS drops down. Fig. 13 shows the simulation results when the network consists of only BE traffic.

![Fig. 13. BE data transmitted by priority-MS and regular-MS when only BE packets are present in the network.](image)

In Fig. 13 we see that priority MS enjoy constant throughput of 70Kbps whereas the throughput for non-priority MS keeps decreasing as the number of MS increases. This happens because BE traffic for priority MS is treated as RTPS traffic. So bandwidth is allotted to priority MS. The leftover bandwidth is shared by non-priority MS. So, by implementing priority based packet classification we can provide graded QoS to the users.

### 4. User based Call Admission Control (CAC) algorithm

Call admission control (CAC) plays a very important role in the IEEE 802.16 based wireless network. WiMAX networks aim to ensure that the QoS requirements for each service class are met. In order to provide QoS, the network should have a robust CAC algorithm.

When an SS/MS wants to establish a connection for a particular service class, it sends a DSA (Dynamic Service Addition) request to BS. This DSA request also contains the QoS parameters for the service class. Upon receiving the DSA request the BS decides to accept or reject the connection. If BS accepts the connection then it has to support the QoS needs of that connection.

When a BS decides to accept a connection, various factors need to be considered. For example the minimum and maximum data rates on the connection, the delay and jitter parameters for the connection etc. There can be other criteria like fairness, revenue per connection that can also play a role while admitting a connection.
Many CAC algorithms have been proposed both for wired and wireless medium. Because of the unique characteristics of wireless medium, many of the CAC algorithms of wired world cannot be applied to the wireless networks. Researches have proposed some CAC algorithms for WiMAX. In (Chen et al., 2005) a simple bandwidth based CAC algorithm is proposed. A new connection is accepted if the bandwidth requirements for the connection can be satisfied by the BS. This algorithm does not take into consideration the deadline consideration of the connections. Once the bandwidth is allocated to the connection, the available bandwidth is calculated using the below equation:

$$ BW_{avail} = BW - \sum_{s \in \{UGS, RTPS, nRTPS\}} \sum_{i=1}^{N_s} C_s^i[rate] $$

Where $C_s^i[rate]$ represents the data rate for the $i^{th}$ connection which belongs to $s$ service class. In (Chandra & Sahoo, 2007) a QoS aware CAC is proposed. BS contains CAC queues for each service class. So there shall be 5 CAC queues (one each for UGS, RTPS, eRTPS, nRTPS and BE). When an SS makes a CAC request for a particular connection, the BS shall queue the request in one of queues based on the QoS requirements for the Class. BS then goes through each of the queues and accepts the connections. (Chandra & Sahoo, 2007) also provides criteria for call admission for each of the service class. In (Shu’aibu et al., 2010) (Shu’aibu et al., 2011) a partition based CAC algorithm is proposed. The total bandwidth is divided into many partitions like constant bit rate partition (CBR), variable bit rate partition (VBR) and Handover partition (HO) etc. CAC is applied to each of these partitions. CAC algorithms proposed above, are all service class based algorithms. In this section we shall look at user based CAC algorithm. The algorithm is based on (Chandra & Sahoo, 2007).

### 4.1 User based CAC algorithm

Fig. 14 shows the control flow at the SS when a new connection request is sent.

![User based CAC at SS](image-url)
Fig. 15 shows the classification of DSA request into different queues based on the priority of user.

![Flowchart](image)

**Start**

1. **Wait for DSA Request from SS**
2. **Decide Service Class for the DSA request based on QoS Parameters**

- **UGS**
  - **Priority User?**
    - **Yes**
      - Add to priority UGS queue
    - **No**
      - Add to non-priority UGS queue

- **RTPS**
  - **Priority User?**
    - **Yes**
      - Add to priority RTPS queue
    - **No**
      - Add to non-priority RTPS queue

- **nRTPS**
  - **Priority User?**
    - **Yes**
      - Add to priority nRTPS queue
    - **No**
      - Add to non-priority nRTPS queue

- **BE**
  - **Priority User?**
    - **Yes**
      - Add to priority BE queue
    - **No**

Fig. 15. Classification of DSA request at BS based on priority of User.

Because of lack of space, the control flow of eRTPS service class cannot be shown. However the logic for classifying the DSA request for eRTPS would be similar to UGS.

Once DSA requests are classified into the respective queues, BS goes through the DSA requests in each queue to admit the connection. High Level view of Admission control algorithm is given in Fig 16.
4.2 Simulation results

Simulations were carried out to evaluate the performance of user based admission control algorithm. Simulation Parameters are given in Table-12.

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Uplink Capacity</td>
<td>16 Mbps</td>
</tr>
<tr>
<td>Arrival of Connection Requests</td>
<td>Poisson arrival pattern</td>
</tr>
<tr>
<td>Lifetime of Connections</td>
<td>2 - 6 seconds</td>
</tr>
<tr>
<td>Data rate of UGS connections</td>
<td>256 kbps</td>
</tr>
<tr>
<td>Data rate of RTPS connections</td>
<td>256 kbps</td>
</tr>
<tr>
<td>Data rate of eRTPS connections</td>
<td>256 kbps</td>
</tr>
<tr>
<td>Data rate of nRTPS connections</td>
<td>256 kbps</td>
</tr>
<tr>
<td>Data rate of BE connections</td>
<td>256 kbps</td>
</tr>
<tr>
<td>Simulation Lifetime</td>
<td>200 seconds</td>
</tr>
</tbody>
</table>

Table 12. Simulation parameters.

Simulations were carried out to find the acceptance ratio for the connection requests for priority users and non-priority users. Acceptance ratio is defined as the ratio between the number of connections accepted to the total number of connections requested. Fig. 17 shows the simulation results for acceptance ratio when the network contains only RTPS connections.
From Fig. 17 we can see that till the connection arrival rate is 10 connections/sec, there is enough capacity to accept both priority and non-priority connections. But beyond that the network cannot support the connection. So it starts to reject the connection. Since connection requests from priority users are processed first, the acceptance ratio for priority users would be higher compared to non-priority users.

Fig. 18 shows the simulation results for RTPS connections for different uplink capacities.

Fig. 18. Connection acceptance ratio for RTPS connection at different uplink capacities.
Simulations were also carried out to check the performance of user based admission control algorithm when BS receives connection requests for all the types of service classes i.e UGS, RTPS, nRTPS, eRTPS and BE. Fig 19. illustrates the simulation results for this scenario.

![Diagram](attachment:image.png)

Fig. 19. Connection acceptance ratio for connections from different service classes.

From the simulation results it is clear that implementing user based admission control improves the connection acceptance ratio for priority users, thereby improving the broadband experience for this section of users.

### 4.3 Drawback of user admission control

If, at any point in time, the network receives many connection requests from priority users then there is a chance that the non-priority users might see higher rejections of their connections. This can be tackled at the operator level. Based on the capacity of the network, a network service provider can limit the number of priority users that he can support. So when signing a new user, the operator can decide whether he wishes to provide the user the privilege of being a priority user.

### 5. Conclusion

In this chapter a comprehensive user based framework is proposed across various modules in WiMAX. Though operator can provide graded services by having different data rates at different price points, it does not give the flexibility that user based framework provides. Using the user based framework, graded services can be managed at the MAC layer and users can be up-graded/down-graded dynamically.

### 6. References


ns2, Available from http://www.isi.edu/nsnam/ns/


This book will provide a comprehensive technical guide covering fundamentals, recent advances and open issues in wireless communications and networks to the readers. The objective of the book is to serve as a valuable reference for students, educators, scientists, faculty members, researchers, engineers and research strategists in these rapidly evolving fields and to encourage them to actively explore these broad, exciting and rapidly evolving research areas.

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