Aflatoxins: Mechanisms of Inhibition by Antagonistic Plants and Microorganisms

Mehdi Razzaghi-Abyaneh¹, Masoomeh Shams-Ghahfarokhi² and Perng-Kuang Chang³

¹Department of Mycology, Pasteur Institute of Iran, Tehran, ²Department of Mycology, Faculty of Medical Sciences, Tarbiat Modares University, Tehran, ³Southern Regional Research Center, Agricultural Research Service, U.S. Department of Agriculture, New Orleans, LA, ¹,²Iran ³USA

1. Introduction

Aspergillus flavus and some other closely-related members of Aspergillus section Flavi especially A. parasiticus and A. nomius are common fungi that normally inhabit as saprobes in soils and on a wide variety of decaying organic matters (Samson, et al., 2000; Varga et al., 2010). Besides being an etiological agent of systemic aspergillosis and allergic reactions, A. flavus has received major attention due to its ability to produce the carcinogenic aflatoxins (AFs) (Hedayati et al., 2007). AFs are a group of structurally related compounds found worldwide in a wide array of food and feed crops including maize, peanuts, tree nuts and oilseeds. AF contamination of agricultural crops is a major concern due to economical losses resulting from inferior crop quality, reduced animal productivity and impacts on trade and public health. It has been estimated that about one-fourth of global food supply is contaminated annually with AFB₁, the most toxigenic among AFs. Hence, an action level of 20 ppb for total AFs in foods for human consumption has been imposed by Food and Drug Administration (FDA) in the United States and by regulatory agencies in many other countries. The biosynthetic pathway of AF is one of the best known pathways of secondary metabolism in microorganisms (Trail et al., 1995). Our current understanding about chemistry and molecular biology of AF biosynthesis is a direct consequence of bioconversion experiments using several blocked mutants as well as successful cloning and characterization of the majority of the AF biosynthetic pathway genes (Georgianna and Payne, 2009). About 30 genes and related intermediates are involved in the production of AFs. To ensure global safety on food and feed supplies, extensive researches have been carried out to effectively control and manage AF contamination of crops. Conventional procedures have been used to prevent contamination process in crops before and after harvest, the majority of which are expensive, time consuming and with limited success. These technologies include crop rotation, use of fungicides, and alteration in planting time. The rapid expansion in our knowledge about inhibition of AF biosynthesis by plants and
microorganisms has enabled us to utilize them as potential AF biocontrol agents (Alinezhad et al., 2011; Holmes et al., 2008; Razzaghi-Abyaneh et al., 2008, 2009, 2010). A large number of compounds and extracts from natural sources including plants, bacteria, microalgae, fungi and actinomycetes have now been screened for the ability to inhibit toxigenic fungal growth and/or AF production. Substantial efforts have been carried out in identifying organisms inhibitory to AF biosynthesis through co-culture with AF-producing fungi with the aim of finding potential biocontrol agents as well as novel inhibitory metabolites. Despite the positive prospective in combating AF contamination, control of AF contamination of food and feed has not yet been achieved. Understanding the mechanisms by which plants and microorganisms and their bioactive metabolites affect AF biosynthesis is a major focus in the molecular biological study of AF. This endeavor would also help us to advance the knowledge about host plant-toxigenic fungus interactions, one of the most important aspects of AF contamination of crops. Genomics, proteomics and metabolomics studies have revealed novel inhibitory mechanisms on AF production by bioactive compounds from natural sources (Bhatnagar et al., 2008; Brown et al, 2010; Kim et al., 2008). In this review, we describe AF inhibitors from plants and microorganisms with an emphasis on their potential mechanisms of action at cellular and molecular levels. We highlight direct inhibition of AF biosynthesis via interfering with the signal transduction regulatory networks involved in gene expression and also by blocking activities of AF biosynthetic enzymes. We also address indirect inhibition of AF production by plants and organisms that affect toxin synthesis by the mechanisms including (i) down-regulation of fungal genes responsible for oxidative stress defense system which combats metabolic and environmental stressors, (ii) enhancement of plant defense mechanisms through genetic engineering and (iii) disruption of mitochondrial respiration which is critical for providing the first substrate of AF biosynthesis, acetyl-CoA.

2. *Aspergillus* species producing G-type aflatoxins in addition to B-type aflatoxins

Aspergilli that produce only B-type aflatoxins, i.e., AFB1 and AFB2 have been reported from species of three groups. They include many isolates of *A. flavus* and infrequent isolates of *A. pseudotamarii* in the section Flavi, rare isolates of *Emericella astellata* and *E. venezuelensis* (producing AFB1 only) from the genus *Emericella* (anamorph: *Aspergillus* section Nidulantes) and rare isolates of *A. rambelli*, and *A. ochraceoroseus* from a newly proposed section *Ochraceorosei* (Cary et al., 2005; Frisvad et al., 2005). Several aspergilli besides producing B-type aflatoxins produce the G-type aflatoxins, AFG1 and AFG2. Species currently recognized to produce both B- and G-type aflatoxins belong exclusively to section Flavi and include *A. parasiticus*, *A. nomius*, *A. bombycis*, *A. minisclerotigenes*, and *A. arachidicola* (Pildain et al., 2008; Varga et al., 2010). Isolates of *A. toxicarious* and *A. parvisclerotigenus* also have been mentioned in this category, but their species status needs further clarification.

3. Plants: Target sites of inhibitory action on AF production

The history of herbal medicine dates back thousands of years ago (Samuelsson 2004). Beneficial plants are widely distributed all over the world, and they are rich sources of useful secondary metabolites often as compounds with therapeutic roles in defense against a wide array of pathogens including viruses, bacteria and fungi, herbivores (both insects and
mammals) and environmental stresses like UV light and ozone (Bakkali et al. 2008; Korkina 2007). Plant bioactive metabolites can be divided into major groups including terpenes (terpenoids, isoterpenoids), phenylpropanoids (flavonoids, tannins, glycosides, and lignins), phenolics and nitrogen-containing compounds (alkaloids and heterocyclic aromatics). Search of natural sources for novel inhibitors of AF biosynthesis has been a subject of intense study and a variety of bioactive AF inhibitory compounds have been reported from medicinal plants (Review by Razzaghi-Abyaneh et al. 2010 and references therein).

3.1 Phenylpropanoids from Anethum graveolens and Petroselinum crispum

Anethum graveolens L. (dill) is a short-lived annual herb cultivated as a native plant in southwest and central Asia including Iran. Petroselinum crispum (parsley) is a bright green hairless biennial herb in temperate climates, an annual herb in sub-tropical and tropical areas. It is native to the central Mediterranean region including Iran, southern Italy, Algeria and Tunisia and widely cultivated as a herb, a spice and a vegetable. Several biological activities of both A. graveolens and P. crispum (Apiaceae family) have been attributed to major constituents of the whole plants including monoterpenes, flavonoids, furanocumarins and phenylpropanoids (Crowden et al. 1969). Phenylpropanoids are a large class of plant phenols with a three-carbon side chain and a phenyl ring derived from phenylalanine, an initial precursor, through shikimic acid pathway (Korkina 2007). A large number of plant-derived phenolics including flavonoids, coumarins and lignins are by-products of phenylpropanoid metabolism (MacRae & Towers, 1984). Phenylpropanoids are involved in plant defense against pathogenic and symbiotic microorganisms through cell wall strengthening and repair, direct antimicrobial activity and coordinating signaling and chemotaxis pathways against naturally occurring stressors. They are known for a wide range of biological activities from antimicrobial to adaptogenic, neurotropic, immunostimulatory, antioxidant, antilucre, anticancer and antiproliferative properties (Korkina 2007 and references therein). In a recent study, we reported the isolation of a phenylpropanoid compound named dillapiol, from leaf essential oil of A. graveolens as specific inhibitor of AFG1 production by A. parasiticus with an IC_{50} (50% inhibitory concentration) equal to 0.15 µM without obvious effect on fungal growth and AFB1 synthesis (Razzaghi-Abyaneh et al. 2007). Another phenylpropanoid, apiol, isolated from the seed essential oil of P. crispum in the same study showed similar effects to dillapiol with an IC_{50} value of 0.24 µM for AFG1. It is proposed that these phenylpropanoids may inhibit AFG1 biosynthesis via inhibition of CypA, a cytochrome P450 dependent monoxygenase involved in conversion of O-methylsterigmatocystin to AFG1 in AF biosynthetic pathway. More than 20 enzymes are involved in the formation of AFB1, AFB2, AFG1, and AFG2. Among them, six are P450 monoxygenases, which include OrdA, CypA, AvnA, CypX, VerA and VerB. AvnA is responsible for the conversion of averantin to 5'-hydroxyaverantin (Yu et al., 1997) and CypX for the conversion of averufin to hydroxyversicolorone (Wen et al., 2005). VerA and VerB are both involved in the conversion of versicolorin A to demethylsterigmatocystin (Keller et al., 1994; Keller et al., 1995). Cytochrome P450 monoxygenases belong to the superfamily of proteins that contain a heme cofactor. The active site of a P450 is a heme-iron center. The iron is tethered to the P450 protein via a thiolate ligand derived from a cysteine residue. This cysteine heme-iron ligand signature, F[S/G/E] XGXRXCXG, is present at the N terminal portion of the six P450s involved in AF biosynthesis. It is believed that OrdA and CypA may correspond to the microsomal enzymes and NadA the cytosol enzyme that are
involved in the formation of AFG\textsubscript{1} and AFG\textsubscript{2} (Yabe et al., 1999; Zeng et al., 2011). The CypA gene encodes a P450 monooxygenase, and its knockout in \textit{A. parasiticus} abolished production of AFG\textsubscript{1} and AFG\textsubscript{2} but not AFB\textsubscript{1} and AFB\textsubscript{2}. Fig. 1 shows that CypA likely catalyzes epoxidation of a closed ring intermediate of 370 Da (2) that is derived from HOMST epoxidized by OrdA followed by first cleavage of the A ring (Ehrlich et al., 2008). This separates AFG\textsubscript{1} formation from AFB\textsubscript{1} formation; the latter requires a second cleavage of the 370 Da intermediate to give an open-ring form (3, 388) followed by demethylation, reclosure, a decarboxylation/dehydration step (Udwary et al., 2002). Most recently, Zeng et al. (2011) confirmed in \textit{A. parasiticus} that HOMST was converted to AFG\textsubscript{1} and AFB\textsubscript{1}. Cai et al., 2008 showed that the \textit{nadA} gene previously assigned to the adjacent sugar utilization gene cluster instead is required for the formation of AFG\textsubscript{1} and AFG\textsubscript{2}. The \textit{nadA} knockout mutants of \textit{A. parasiticus} accumulate a new 360 Da precursor, NADA. As illustrated in the Fig. 1, OrdA performs two epoxidation reactions on the OMST aryl A-ring whereas CypA performs an epoxidation reaction on an alkene (non-aromatic) substrate. They likely have very different catalytic structures. The 370 Da (2) substrate has an O-methyl group situated next to the alkene where epoxidation by CypA occurs. This structure is similar to the moiety of dillapiol, apiol, and myristicin where O-methyl group(s) links to the planar methylenedioxyphenyl ring (Razzaghi-Abyaneh et al., 2007). These O-methyl containing phenylpropanoids like other polysubstrate monooxygenase inhibitors (Casida, 1970; MacRae & Towers, 1984) may be able to access and bind to the heme in the active site pocket of CypA but are unable to access or bind to the catalytic heme residue in OrdA, AvnA, CypX, VerA and VerB. This could explain why formation of AFG\textsubscript{1} and AFG\textsubscript{2} but not AFB\textsubscript{1} and AFB\textsubscript{2} was specifically inhibited by these types of compounds. The observed dosage effects may suggest that these inhibitory compounds compete for access with the 370 Da (2) substrate.

Fig. 1. Proposed pathway of aflatoxin G\textsubscript{1} formation from HOMST. It is believed that apiols exert their specific inhibition on formation of AFG toxins by affecting the pathway specific gene product i.e. CypA or that the inhibition is a result of structural similarities of apiols to some pathway intermediates, which enable apiols to inhibit CypA via binding to the heme in the active site pocket of the protein.
3.2 Spiroethers from *Matricaria recutita*

*Matricaria recutita* L. (syn: *M. chamomilla* L.; German chamomile) resides in the Asteraceae family and is one of the most widely used medicinal plants in the world (Salamon, 1992). It has a long history of application in herbal medicine which dates back ancient Greece and Rome periods where it was referenced by Hippocrates, Galen and Asclepius (Franke & Schilcher, 2005). The plant is an annual herb with erect branching and finely divided leaves growing to 50–90 cm tall. It has a stable natural monocyclic sesquiterpene alcohol named α-bisabolol as the main constituent (Tolouee et al., 2010). The plant has no reported toxic compounds and acute toxicity to human and animals. Consequently, it has been listed as generally recognized as safe (GRAS) by FDA (Bradley, 1993; Newall et al., 1996). A diverse range of pharmacological effects have been recognized for the plant including antimicrobial, anti-inflammatory, antioxidant, antispasmodic, antiviral, carminative, sedative and antiseptic properties. Other potentially active constituents are terpenoids, flavonoids, coumarins, and spiroethers which are believed to be responsible in part for the plant’s wide range of biological activities (Newall et al., 1996). By screening 110 commercial essential oils from different plants using a microbioassay technique, Yoshinari et al. (2008) found a novel biological activity from *M. recutita* that completely inhibited AFG₁ production in *A. parasiticus* NRRL2999 at a concentration of 100 µg/ml. The components associated with the activity were identified as (E)- and (Z)-spiroethers (Martinez et al. 1987). Both compounds inhibited AFG₁ production with IC₅₀ values of 2.8 and 20.6 µM without affecting fungal growth. However, they increased AFB₁ production in a concentration dependent manner. An *in vitro* feeding experiment with OMST as the substrate using *A. parasiticus* ATCC24690 (norsolorinic acid accumulating mutant) in the presence of spiroethers showed that these compounds specifically inhibited the pathway from OMST to AFG₁. In contrast, the mutant received only exogenous OMST produced both AFB₁ and AFG₁. Spiroethers have been implied to be able to inhibit human cytochrome P450 enzymes, CYP1A2 and CYP3A4 (Ganzera et al., 2005). Yoshinari et al. (2008) suggested that a possible inhibitory mechanism of spiroethers on AFG₁ production was on the activity of a cytochrome P450-dependent enzyme. In support of this notion, the authors further showed that spiroethers efficiently inhibited 3-acetyldeoxynivalenol by inhibiting TRI4, a key P450 monoxygenase involved in the early step of trichothecene biosynthesis. The target of spiroethers inhibition on AFG₁ formation likely is the aforementioned CypA, a P450 monoxygenase enzyme.

3.3 *Ageratum conyzoides*: Possible role for precocenes

*Ageratum conyzoides* is a species of invasive plants belonging to the family Asteraceae with high degree of environmental adaptability. It is native to tropical America, especially Brazil where it is commonly known as "Mentrasto". Several medicinal properties of this plant such as antimicrobial effects against different bacteria and fungi have been attributed to its main chemical constituents including terpenoids, flavonoids and phenolics (Kong et al., 2004). Nogueria et al. (2010) reported the complete inhibition of AFB₁ production by *A. flavus* IMI190 by the essential oil of the plant’s aerial parts (concentrations ≥ 0.1 µg/ml) along with retarded fungal growth. Electron microscopic examination on oil-treated fungal structures revealed ultrastructural changes mainly in plasma membrane and memberanous organelles especially the mitochondria. In the excellent two-branch model presented for subcellular compartmentalization, translocation, AF gene expression and aflatoxisome biogenesis, Chanda et al. (2009) proposed that acetyl-CoA molecules necessary for early steps of AF biosynthesis are originated from β-oxidation of short chain fatty acids inside mitochondria.
Therefore, destruction of mitochondria resulting from exposure to A. conyzoides may account in part for its inhibitory effects on AFB₁ production. Two dimethylchromenes i.e. precocenes I and II were identified as the main constituents in the essential oil of A. conyzoides (Nogueria et al., 2010). These compounds purified also from Matricaria recutita essential oil have been recently reported as potent inhibitors of trichothecene biosynthesis by Fusarium graminearum (Yaghchi et al., 2009). Inhibition of 3-acetyldeoxynivalenol, a precursor of deoxynivalenol, by precocene II might be a consequence of decreased mRNA levels of Tri4 and some other genes encoding proteins required for deoxynivalenol biosynthesis. Whether a direct inhibition of AFB₁ production by A. conyzoides is at the gene expression level by precocene II warrants further investigation.

3.4 Caffeic acid: An example of antioxidant-based inhibition of AF biosynthesis
Oxidative stress is an imbalanced state where excessive amounts of reactive oxygen species (ROS) overcome endogenous cell scavenging (antioxidant) capacity, leading to oxidation of a wide array of macromolecules such as enzymes, proteins, nucleic acids and lipids (Dai & Mumper, 2010). It has been shown that oxidative stress is a prerequisite and stimulatory factor for AF biosynthesis (Jayashree and Subramanyam, 2000; Reverberi et al., 2005). Oxidative stress is a result of exposure of fungal cells to ROS such as superoxide anion (O₂⁻), hydrogen peroxide (H₂O₂), hydroxyl radicals (HO⁻) and lipoperoxides (LOOH), which are formed from unsaturated fatty acids. These molecules can be generated as by-products of cell respiration or as a consequence of fungal response to environmental stressors like infectious microorganisms. ROS production at physiological concentrations plays an important role in fungal developmental processes such as conidiation and differentiation (Reverberi et al., 2008 and references therein). If the ROS level exceeds the cell-scavenging capacity, it can damage cell membranes and cell metabolism. Under such conditions, fungal cells preserve themselves by activating free radical scavenging system composed of the antioxidant enzymes such as superoxide dismutase (SOD), catalase and glutathione peroxidase (GPX). It is believed that AF and its precursors produced by toxigenic fungi as a defense mechanism in response to abnormally elevated levels of ROS (Narasaiah et al., 2006; Reverberi et al., 2008). Reverberi et al. (2008) first described the role of a gene ApyapA from A. parasiticus in regulating cell differentiation and aflatoxin biosynthesis following ROS formation and the activation of antioxidant defense mechanisms. This gene is an orthologue of Yap1 from Saccharomyces cerevisiae which modulates the expression of many antioxidant related genes. The authors proposed that consecutive events starting from A. parasiticus growth followed by oxidative burst, triggered the expression of ApyapA, modulation of antioxidant defense and finally led to initiation of AF biosynthesis. Many plants have antioxidant properties. Hence, they may exert inhibitory effects on AF biosynthesis by affecting oxidative stress responses in toxigenic fungi. Caffeic acid is one of the most important naturally occurring plant secondary metabolites with well known antioxidant activity. It decreases lipid peroxidation and GSH depletion resulting in reduced cell death (Lima et al., 2006). Caffeic acid is found in all plants because it is a key intermediate in the lignin biosynthesis. Recently, it has been used as a marker for the elucidation of antioxidant-based inhibition of AF biosynthesis (Kim et al. 2008). Addition of caffeic acid at a final concentration of 12 mM to fat-riched growth media reduced by >95% of AF production by A. flavus NRRL3357 without any obvious effect on fungal growth. Microarray-based gene expression profiling showed that expression of all genes in the AF biosynthetic cluster except for norB and the AF pathway regulatory gene, aflJ, were down-
regulated in caffeic acid-treated *A. flavus* compared with non-treated controls. Further microarray analysis of a number of genes involved in lipid metabolism, cell wall integrity and transport, and oxidative/antioxidative activities suggested a combination of events for the caffeic acid-induced inhibition of AF production by *A. flavus* (Kim et al., 2008).

### 3.5 Plant-based naturally occurring phenolics

Phenolics are the most abundant secondary metabolites of plants with more than 8,000 known structures ranging from simple compounds such as phenolic acids to complex structures such as tannins (Dai & Mumper, 2010). Phenolic acids, flavonoids, tannins, stilbene and lignans are the main classes of plant phenolics. Besides the role in defense against UV, pathogens, parasites and predators, plant polyphenols have received special attention regarding their potent antioxidant properties which make them promising in suppressing oxidative stress associated disorders such as cancer. Inhibition of fungal growth and AF production by phenolics has been a subject of many studies (Hua et al., 1999; Kim et al., 2005, 2006; Razzaghi-Abyaneh et al., 2008). Hua et al. (1999) showed that plant phenolics i.e. acetosyringone, syringaldehyde and sinapinic acid efficiently inhibited the biosynthesis of AFB\textsubscript{1} in *A. flavus*. Using a norsolorinic acid (NOR) accumulating mutant of *A. flavus*, they proposed that these phenolics exert their inhibitory effects on AFB\textsubscript{1} biosynthesis at one or more early steps in the AF biosynthetic pathway. Razzaghi-Abyaneh et al. (2008) showed a novel biological activity from leaf essential oil of *Satureja hortensis* L. as strong inhibition of AF biosynthesis by *A. parasiticus* NRRL2999. The active substances purified by column chromatography were identified as phenolics, thymol and carvacrol. Bioassay with HPLC purified fractions revealed that both carvacrol and thymol effectively inhibited fungal growth. Inhibition of AFB\textsubscript{1} and AFG\textsubscript{1} production by these phenolics exhibited a dose-dependent manner at concentrations of 0.041 to 1.32 mM throughout all two-fold dilutions. The IC\textsubscript{50} values of the compounds for AFB\textsubscript{1} and AFG\textsubscript{1} was as 0.50 and 0.06 mM. Since these phenolics are potent antioxidants, they likely exert their inhibitory activities on AF production through mediation of oxidative stress levels in the fungus. Kim et al. (2006), using a *S. cerevisiae* model system, demonstrated an effective synergism of natural phenolics with known antifungal chemicals such as carboxin and strobilurin. They showed that growth inhibition of *A. flavus* by the phenolics salicylic acid, thymol, vanillyl acetone, vanillin and cinnamic acid is via targeting the mitochondrial oxidative stress defense system. Since mitochondria are responsible for providing acetyl-CoA which is a main precursor for AF biosynthesis, disruption of mitochondrial respiration chain may account in part for the inhibitory effects of antifungal phenolics on AF production.

### 3.6 *Azadirachta indica*: A global tree for global problems

*Azadirachta indica* A. Juss (syn. *Melia azadirachta* L., Neem, Margosa) is a subtropical tree native to the drier areas of Asia and Africa. The plant is known for its medicinal, spermicidal, antiviral, antibacterial, antiprotozoal, insecticidal, insect repellent, antifungal and antinematode properties (Allameh et al., 2001; Bhatnagar et al., 1988). It is indigenous to the Indian subcontinent where it has been used in agriculture, medicine and cosmetics. Several active substances from different parts of the plant have been identified. Extracts from different parts contain terpenoids, desactylimbin, quercetin and sitosterol. It has been shown that aqueous extracts of leaves and seeds inhibit AF production by *A. parasiticus* at concentrations higher than 10% (v/v) without affecting fungal growth. Studies suggested that the inhibitory components of these extracts are non-volatile substances that affect the
synthesis of enzymes in the early steps of AF biosynthetic pathway (Bhatnagar et al., 1988; Zeringue and Bhatnagar, 1990). Allameh et al. (2001) did not find a positive correlation between AF production and the activity of fatty acid synthase, a key enzyme involved in AF production on neem-treated *A. parasiticus*. Razzaghi-Abyaneh et al. (2005) showed that AF production at 96 h in cultures containing 50% neem leaf and seed extracts was inhibited by 90 and 65%, respectively. Electron microscopy examination of treated fungus and non-treated controls revealed an association between decreased AF production and morphological changes suggesting that the integrity of cell barriers particularly cell wall is crucial in the regulation of AF biosynthesis and excretion.

3.7 Caffeine: An alkaloid from cocoa and coffee beans

Caffeine is a xanthine alkaloid which was isolated from coffee in 1820 by a German chemist, Friedlieb Ferdinand Runge. This compound also is found in different quantities in the beans, leaves and fruits of some plants, and acts as a natural pesticide against plant pathogens. Caffeine has been reported to inhibit fungal growth and mycotoxin (sterigmatocystin, citrinin, patulin and ochratoxin A) production by some *Aspergillus* and *Penicillium* species (Buchanan & Lewis, 1984 and references therein). Its mechanism of action was elucidated by Buchanan & Lewis (1984). They observed nearly complete inhibition of AF production along with a marked suppression (80-90%) in growth of *A. parasiticus* in submerged cultures containing 2 mg/ml caffeine. Based on the results of the feeding experiments with [U-C14] glucose and enzymatic assays, Buchanan & Levis proposed that caffeine blocks AF production by affecting respiratory system of fungal cells and by inhibiting glucose uptake which is necessary for the production of acetyl-CoA, the building block of AFs. It seems that caffeine inhibits glucose uptake by directly affecting glucose transport system rather than altering the level or activity of enzymes associated with the glucose metabolism.

3.8 Gallic acid from walnuts

Gallic acid is a phenolic compound and a key component of hydrolysable tannins found in different plant species such as walnuts, oat bark and tea leaves. It is synthesized from an early intermediate named 5-dehydroshikimate in shikimate pathway. Among diverse biological activities reported for gallic acid, antimicrobial, antioxidant and antitumor properties are involved in plant defense against environmental stressors and pathogens. Inhibition of AF production by gallic acid without obvious effect on fungal growth was first described by Cary et al. (2003). Investigation on the mechanisms of action of gallic acid has shown that the compound affects AF biosynthesis by i) inhibition of the expression of AF biosynthetic pathway genes *nor1* and *ver1* without affecting transcription of the regulatory gene i.e. *aflR*, ii) disruption of the signal transduction pathway of oxidative stress system and iii) suppression of expression of regulatory genes of AF biosynthetic pathway such as *laeA*, whose expression is triggered by oxidative stress (Cary et al., 2003; Kim et al., 2005; Mahoney & Molyneux, 2004).

3.9 Salicylaldehyde: A volatile natural plant compound

Salicylaldehyde is an aroma compound of *Fagopyrum esculentum* and other buckwheat which acts as a key precursor of a variety of chelating agents with commercial importance. Little has been documented about physiological roles and biological properties of this volatile compound. Recently, Kim et al. (2010) showed that salicylaldehyde inhibits AF
production in *A. flavus* and *A. parasiticus* by 13-45% at a concentration of 9.5 mM; it also caused retardation in fungal growth. Using the model of yeast gene deletion mutants, they suggested that the fungal antioxidant system is the molecular target of salicylaldehyde and that vacuolar detoxification plays an important role in fungal resistance to the inhibitory effects of salicylaldehyde.

### 3.10 Carotenoids from maize and other plants

A large number of plant carotenoids have been reported as inhibitors of AF biosynthesis (Norton, 1997 and references therein). Norton (1997) studied the effects of maize carotenoids on AF biosynthesis by *A. flavus* and found all 11 carotenoids tested except α-tocopherol markedly suppressed AFB₁ production. The compounds containing the α-ionone ring i.e. α-carotene, lutein and α-ionone were the most active carotenoids capable of inhibiting >90% AFB₁ production. Exposure of a norsolorinic acid (NOR) accumulating mutant of *A. parasiticus* SRRC162 to α-carotene resulted in production of low levels of both NOR and AF, indicating that a target site(s) of α-carotene likely are at early steps of AF pathway before NOR formation. Comparative analysis of chemical structures of tested carotenoids showed the conjugated tail and the double-bond arrangement of the ring to be the determinants of the AF inhibitory activities. Based on the structure/activity data, modification of cell membranes that indirectly affect cytosolic polyketide synthase and specific interaction with hydrophobic domains of AF pathway enzymes were postulated to result in the observed inhibition (Norton, 1997).

### 3.11 Resistance associated proteins from maize kernel (RAPs)

The information derived from genomics, proteomics and metabolomics has provided us a better understanding of how the AF producing fungi survive in the field and how they invade host plants and produce AF (Bhatnagar et al., 2008; Brown et al., 2010; Kim et al., 2007; Rajasekaran et al., 2006). Published studies revealed that plants respond to fungal invasion and infection through: i) producing inhibitors to fungal cell wall degrading enzymes, ii) producing specific inhibitors against fungal growth and/or AF production, iii) producing ROS and stress responsive proteins, iv) increasing lignification and cell wall cross-linking and v) triggering host cell death at the site of infection (Bhatnagar et al., 2008; Liang et al., 2006). Among crops susceptible to AF contamination, maize has been the subject of several studies because of its importance as human staple and as animal feeds worldwide. Natural resistance to AF contamination has been noticed in maize genotypes during field screening. Comparative proteomics studies have identified maize kernel resistance associated proteins (RAPs) as promising breeding markers (Bhatnagar et al., 2008; Brown et al., 2010). RAPs were classified in three major groups including antifungal, storage and stress-responsive proteins. A RAP from maize kernel, the 14 kDa trypsin inhibitor, in resistance to fungal invasion and AF contamination has been confirmed (Brown et al., 2010 and references therein). This trypsin inhibitor indirectly suppresses AF production by inhibiting α-amylase of *A. flavus*, a fungal pathogenesis factor (Chen et al., 1998; Fakhoury & Woloshuk, 1999). Extracellular hydrolases of *A. flavus* including α-amylase are responsible for degrading starch to glucose and maltose used for fungal growth. Fakhoury & Woloshuk (1999) first described *Amy1* as α-amylase gene of *A. flavus* and confirmed the role of α-amylase in AF biosynthesis. They showed that α-amylase produced by *A. flavus* generated sugar concentrations sufficient to induce AF biosynthesis. How different classes of RAPs
contribute to resistance of maize to AF accumulation is a key question remains to be answered.

4. Microorganisms and their bioactive metabolites

Beneficial microorganisms especially bioactive fungi, bacteria and actinomycetes are cell factories that can produce a wide array of biologically active substances inhibitory to AF production. It has been reported that, on average, two or three antibiotics derived from microorganisms enter into the market each year (Clark, 1996). Hundreds of antifungal compounds also have been isolated from different fungi, bacteria and actinomycetes. Terrestrial actinomycetes especially those classified in the genus *Streptomyces* are rich sources of antifungal and AF inhibitory metabolites (Deshpande et al., 1988). Most recently, the roles of mycoviruses and RNA silencing in relation to AF control have gained special attention (Hammond et al., 2008; Schmidt 2009).

4.1 Bacteria and actinomycetes

4.1.1 Cyclo(L-Leucyl-L-Prolyl); A cyclic dipeptide from *Achromobacter xylosoxidans*

*Achromobacter xylosoxidans* is a non-fermentative, gram-negative bacillus belonging to the family Alcaligenaceae. It has been associated with a variety of clinical cases ranging from superficial sepsis to potentially fatal nosocomial infections. *A. xylosoxidans* is a newly emerging microorganism isolated with increased frequency from the lungs of patients with cystic fibrosis, but information about its clinical relevance is limited (Saiman & Siegel, 2004). Yan et al. (2004) reported a new biological activity for an environmental strain of *A. xylosoxidans* that inhibited AF production by *A. parasiticus*. The inhibitory metabolite was successfully isolated by a combination of chromatographic techniques and identified as a heat and chemical resistant cyclic dipeptide, "cyclo(L-Leucyl-L-Prolyl)". This compound has also been reported from different organisms including *Streptomyces* sp., an ascomycete (*Rosellinia necatrix*), a marine sponge (*Rhaphisia pallida*) and *Halobacillus litoralis*, a marine bacterium (Yan et al., 2004 and references therein). By using a tip culture method, the IC$_{50}$ value of the compound on AFB$_1$ production was determined to be 200 µg/ml. It was inhibitory to *A. parasiticus* growth at a high concentration of 6000 µg/ml. RT-PCR analyses showed that cyclo(L-Leucyl-L-Prolyl) inhibited AF biosynthesis by repressing transcription of AF pathway genes *aflR*, *hexB*, *pksL1* and *dmtA*. The feeding experiment for conversion of sterigmatocystin (ST) to AF in the presence of the compound showed the loss of the most enzymes involved in the pathway from ST to AF. Cyclo(L-Leucyl-L-Prolyl) may direct or indirect affect the expression of the pathway regulatory gene, *aflR*. Further studies are needed in order to elucidate the underlying mechanisms of the inhibition.

4.1.2 Dioctatin A from *Streptomyces* sp. SA-2581

Dioctatin A was first isolated from *Streptomyces* sp. SA-2581 by Takeuchi et al. (1991) as an inhibitor of human dipeptidyl peptidase II, a property which accounts for immunosuppressive effects of the compound. Dioctatin A is a white powder with molecular formula of C$_{12}$H$_{39}$N$_3$O$_4$, molecular mass of 397.6 Da and a melting point of 263-265 ºC. Yoshinari et al. (2007) demonstrated that Dioctatin A also is a strong inhibitor of AF production by *A. parasiticus*. AF production was inhibited with an IC$_{50}$ value at 4.0 µM without any obvious effect on fungal growth. Using RT-PCR, they showed that dioctatin A
inhibited the transcription of \( pksA,\ ver1 \) and \( omtA \) and significantly repressed the pathway regulatory gene, \( aflR \). Besides inhibition in \( \text{AFB}_1 \) and \( \text{AFG}_1 \) production, an efficient suppression of conidiation was observed on solid medium accompanied by a concomitant reduction in the mRNA level of \( brlA \), which encodes a conidiation-specific transcription factor. Based on the data about inhibition of ST biosynthesis and conidia formation in \( A.\ nidulans \), they proposed that dioctatin A may target the G protein signaling pathway and thus results in inhibition of AF biosynthesis. Dioctatin A may be a good bioactive agent for the control of AF contamination based on several proven benefits including simple structure, no toxicity to mammals, inhibiting AF production in a model infection system on raw peanuts, inhibition of AF and conidiogenesis without affecting fungal growth (lowering the chance for spread of resistance), and targeting only secondary but not primary metabolism.

### 4.1.3 Aflastatin A from \textit{Streptomyces} sp. MRI142

Aflastatin A was first isolated from solvent extracts of the mycelial cake of a soil isolate of \textit{Streptomyces} sp. MRI142 (Ono et al., 1997). Using NMR and chemical degradation analyses, it was revealed that the compound has a skeleton of tetramic acid derivative with a highly oxygenated long alkyl chain (Ono et al., 1998). It is active against different yeasts, mycelial fungi and gram-positive but not gram-negative bacteria (Ono et al., 1997). Aflastatin A completely inhibited AF production by \( A.\ parasiticus \) NRRL2999 in liquid and solid cultures at a concentration of 0.5 \( \mu \text{g/ml} \) without affecting fungal growth (Ono et al., 1997). Its inhibitory mechanism was studied by evaluating the effect on AF biosynthetic pathway and glucose metabolism in \( A.\ parasiticus \) (Kondo et al., 2001). Inhibition of NOR production was observed when \( A.\ parasiticus \) ATCC24690 was cultured on potato dextrose agar plates in the presence of 0.1% (v/v) of aflastatin A. Glucose metabolism and ethanol accumulation were accelerated with a marked suppression in transcription of related genes \( aldA \) and \( facA \). RT-PCR of the AF biosynthesis genes showed a significant reduction in transcription of \( pksA,\ ver1,\ omtA \) and \( aflR \) when cells were exposed to this compound. Aflastatin A may suppress AF biosynthesis either directly via affecting \( aflR \) transcription or indirectly by causing a marked disturbance in the regulatory machinery of carbon metabolism.

### 4.1.4 Blasticidins A and S from \textit{Streptomyces griseochromogenes}

Blasticidin A, a peptidyl nucleoside antibiotic, was first reported by Fukunaga et al. (1958) as an anti-phytopathogenic substance and its absolute configuration was determined by Sakuda et al. (2007). It is a potent inhibitor of AF biosynthesis by \( A.\ parasiticus \) (Sakuda et al., 2000). Using two-dimensional differential gel electrophoresis (2D-DIGE) Yoshinari et al. (2010) showed that blasticidin A inhibited AF (total of \( B_1 \) and \( G_1 \)) production and fungal growth with IC\(_{50}\) values of 0.25 and 1.6 \( \mu \text{M} \), respectively. MALDI-TOF MS analysis of protein spots on the 2D-DIGE gel of blasticidin A-treated \( A.\ flavus \) showed decreased amounts of AF biosynthetic enzymes including Vbs, OmtB, OmtA, NorA, Ver-1 and Nor-1 after 36 h treatment. Levels of other proteins with unknown functions were also decreased. It was suggested that protein synthesis in toxigenic fungi maybe the possible target site of blasticidin A. Blasticidin S, another peptidyl nucleoside antibiotic highly similar to blasticidin A, was reported in the same study as an inhibitor of AF production (IC\(_{50} = 28 \mu \text{M}\)) with weak inhibitory effect on fungal growth (IC\(_{50} > 1000 \mu \text{M}\)).
4.2 Mushrooms and microfungi

4.2.1 β-glucans and culture filtrates from Lentinula edodes and Trametes versicolor

Mushrooms have received major attention with regard to their biological properties including healing effects against different diseases, antioxidant, anticancer, antiviral, and antibacterial properties and hepatoprotective effects against AF (Zjalic et al., 2006 and references therein). Recently, mushrooms have been explored as potential control agents for AF contamination (Reverberi et al., 2005; Zjalic et al., 2006). Reverberi et al. (2005) concluded that culture filtrate and purified β-glucans from Lentinula edodes, an edible basidiomycetous mushroom native to East Asia, significantly inhibited AF production by A. parasiticus without affecting fungal growth. Their RT-PCR analyses of treated A. parasiticus mycelia showed a delay in activation of AF biosynthetic pathway genes aflR and norA as well as a simultaneous activation of hsf2-like, a transcription factor involved in oxidative stress responses. They suggested that AF production inhibition by β-glucans and culture filtrate of L. edodes resulted from a stimulation of fungal antioxidant system that activates antioxidant enzymes such as SOD, catalase and glutathione peroxidase. As a consequence, a delay in AF gene transcription leads to a marked suppression of AF biosynthesis. Mushroom constituents have some advantages over chemicals and plants extracts including low toxicity, simple extraction procedures and easy production on waste materials. Therefore, L. edodes culture filtrate is a promising tool to control pre- and post-harvest AF contamination of crops. Zjalic et al. (2006) examined another industrially important mushroom, Trametes versicolor, for its antifungal activity against an AF producing A. parasiticus. They showed that lyophilized culture filtrates and purified exopolysaccharides of different strains of T. versicolor efficiently inhibited AF (B and G series) production in the range of 40-90% in submerged cultures and on maize and wheat seeds without affecting fungal growth. Antioxidant activity of T. versicolor culture filtrate possibly is associated with β-glucan, a free radical scavenging agent that suppresses AF biosynthesis. RT-PCR analyses of AF biosynthetic genes showed that T. versicolor filtrate also significantly inhibited expression of norA and markedly delayed aflR transcription.

4.2.2 Wortmannin from Penicillium and other microfungi

Wortmannin is a furano steroid fungal metabolite produced by different mycelial fungi especially Penicillium funiculosum and Talaromyces wortmannii (Bräse et al., 2009). It is well known for its biological activity as a specific covalent inhibitor of phosphoinositide 3-kinases. These group of enzymes are responsible for regulating various cell survival signaling pathways including growth and proliferation, receptor mediated endocytosis, apoptosis and membrane trafficking in mammalian cells (Shepherd et al., 1988). Recently, Lee et al. (2007) showed that wortmannin at a concentration of 1 µM inhibited fungal growth, asexual sporulation, AF production and expression of AF pathway genes ver1 and nor1. The inhibition on AF biosynthesis appears to interfere the phosphatidyl inositol 3-kinase-mediated signaling pathway similar to that described for mammalian hepatocytes by Rondione et al. (2000). In the model proposed, a cascade of events including blocking of the phosphatidylinositol 3-kinase activity, inhibition of phosphodiesterase activation, accumulation of cAMP levels to higher than the physiological state, reduction of aflR expression and activity, and reduction of promoter activity of nor1 and ver1 genes occur in wortmannin-treated A. parasiticus which concertedly led to strong inhibition of AF production.
4.3 dsRNA viruses; RNA silencing as a mechanism for AF suppression

Viruses, the fundamental component of life, are involved in modulating intracellular gene activities. They are unique among microorganisms in terms of adaptability, propagation of genomic materials and cellular metabolism. The history of interaction between viruses and aflatoxigenic fungi dates back more than 20 years ago when Schmidt and co-workers (1986) described the effects of viruses on AF production by *A. flavus*. Transfection experiments with naked and complete dsRNA virus from *Penicillium chrysogenum* (*PcV*) which shared similarities in structure and size to dsRNA materials of a non-toxigenic strain of *A. flavus* resulted in a stable suppression of AF biosynthesis by toxigenic *A. flavus*. The recent descriptions of RNA interference (Schmidt 2004) and the interaction of *Aspergillus* mycoviruses with their host via RNA interference (Hammond & Keller, 2008; Hammond et al., 2008) suggest that dsRNA virus from *P. chrysogenum* may degrades transcripts of AF genes by the RNA interference mechanism (Schmidt et al., 2009). However, further experiments using morphological traits revealed that the *PcV*-gene suppressing effect on AF biosynthesis is probably nonspecific because it also affected genes involved in both morphogenesis and secondary metabolism (Schmidt, 2004). Schmidt (2009) proposed that suppression of *veA* gene by *PcV*-induced siRNAs eventually led to the blocking of AF biosynthesis in the virus transfected *A. flavus*.

5. Concluding remarks and future prospective

Despite the rapid growth of our knowledge in genetics and molecular biology of AF biosynthesis in recent years, little has been documented on how we can practically combat the global problem of AF contamination of crops and agricultural commodities. The information derived from genomic resources such as whole genome sequence and expressed sequence tag (EST) of *A. flavus*, as well as from proteomics and metabolomics studies will provide us a better understanding of how AF-producing fungi survive in the field and how they invade host plants and produce the carcinogenic AFs. A large number of compounds originated from plants and microorganisms have been proven as strong inhibitors of AF biosynthesis. Recent advances in the identification of the target sites of these inhibitors have shown that they may act via i) interfering with the signal transduction regulatory networks involved in AF gene expression, ii) blocking activities of AF biosynthetic enzymes, iii) down-regulating fungal genes of the oxidative stress defense system that combats metabolic and environmental stressors, iv) inhibiting fungal pathogenesis factors and v) disrupting mitochondrial respiration, a critical process that provides acetyl-CoA for AF biosynthesis. Elucidation of the underlying mechanisms by which plants and microorganisms and their bioactive metabolites affect AF biosynthesis is a major focus in the current molecular biological studies of AF biosynthesis. This endeavor also will advance the knowledge on the complex host plant-toxigenic fungus interactions, which is one of the most important aspects in solving the AF contamination problem.

6. Acknowledgements

The authors wish to thank Dr. Kenneth Ehrlich for his kind contribution in preparing Fig. 1.
7. References


Aflatoxins: Mechanisms of Inhibition by Antagonistic Plants and Microorganisms


Aflatoxins – Biochemistry and Molecular Biology is a book that has been thought to present the most significant advances in these disciplines focused on the knowledge of such toxins. All authors, who supported the excellent work showed in every chapter of this book, are placed at the frontier of knowledge on this subject, thus, this book will be obligated reference to issue upon its publication. Finally, this book has been published in an attempt to present a written forum for researchers and teachers interested in the subject, having a current picture in this field of research about these interesting and intriguing toxins.

How to reference
In order to correctly reference this scholarly work, feel free to copy and paste the following:
