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Electromyography and Facial Paralysis

Fernanda Chiarion Sassi, Paula Nunes Toledo, Laura Davison Mangilli and Claudia Regina Furquim de Andrade
University of São Paulo
Brazil

1. Introduction

The facial motor system is responsible for functions critical to physical, social and psychological well-being (VanSwearingen & Brach, 1996). Facial nerve paralysis is a life-altering clinical condition, with functional, aesthetic and communication implications for the individuals who are afflicted (Hadlock, 2008). It differs from most other clinical conditions in that it is the end result of a very wide variety of underlying conditions; ranging from skull base trauma, congenital syndromes, skull base tumors, infectious diseases, among others, leading to a single disability (Diels, 2000; Hadlock, 2008). Several studies have presented conflicting results regarding its epidemiology. The precise annual incidence of Bell’s palsy, the most common cause of unilateral facial weakness, in the Western world is probably around 20 to 25 per 100,000 people (Peitersen, 1982; Morgan & Nathwani, 1982). Bell’s palsy is defined as isolated, sudden, peripheral facial paralysis of unknown etiology. However, it is generally accepted that it is a nonsuppurative, inflammatory, generative disease of the facial nerve within the stylomastoid foramen (Proctor, Corgill & Proud, 1976). The vast majority (around 80-84%) of patients will recover completely, but a few (16-20%) will remain with chronic facial paralysis or paresis (Peitersen, 1982; Morgan & Nathwani, 1982).

Although Bell’s palsy may develop at any age, literature often points that it is more common among young or middle-aged adults (onset between 31-60 years) (Kukimoto et al., 1988; Gonçalves-Coelho et al., 1997; Bradbury, Simons & Sanders, 2006). The longer the recovery is delayed, the higher is the incidence of sequelae such as synkinesis and contracture (Ghali, MacQuillan & Gorbbellaar, 2001). Completeness of recovery also decreases with age with 90% complete remission up to the age of 14 compared below 40% for the over 60 age group (Peitersen, 1982). Results about distribution between genders are also conflicting. While a few authors point that the disease is equally distributed between genders (Morgan & Nathwani, 1982), others point that it is more common among females (Bradbury, Simons & Sanders, 2006; Garcia et al., 2010).

Literature indicates that head trauma is the second most frequent cause of facial paralysis (Atolini Junior et al., 2009; Pinna, Testa & Fukuda, 2004). Causes of head trauma are usually related to traffic injuries (82.5%), fall from height (7.5%), assault (5%), and gunshot (2.5%), although numbers can vary significantly from one country to the next (Pinna, Testa & Fukuda, 2004; Odebode & Ologe, 2006). Until the end of the 19th century, the treatment of facial paralysis involved non-surgical means such as ointments, medicines and...
electrotherapy (van de Graaf & Nicolai, 2005). With the advent and refinement of microvascular surgical techniques in the latter half of the 20th century, vascularised free muscle transfer coupled with cross-facial nerve grafts were introduced, allowing the possibility of spontaneous emotion being restored to the paralysed face became reality (Ghali, MacQuillan & Grobbelaar, 2011). The clinical or surgical treatment will depend on lesion extension.

Other causes of facial paralysis include the presence of infections, among which are the herpes group of viruses, especially herpes simplex virus and varicella-zoster virus (Morgan & Nathwani, 1992; Yeo et al., 2007), complication of acute otitis media or in the presence of cholesteatoma (Atolini Junior et al., 2009) and Schwanomas of the 7th and 8th cranial pairs (Rosenberg, 2000; Lee et al., 2007; Saito & Cheung, 2010).

Considering the anatomy and function of the facial nerve, the stylomastoid foramen marks the beginning of the facial nerve’s extracranial course. The nerve becomes superficial rendering it susceptible to trauma before entering the parotid gland and dividing into two main trunks within the substance of the gland (Seikel, King & Darmright, 2010; Ghali, MacQuillan & Grobbellaar, 2010). On exiting the gland, there are between 8 and 15 branches making up the five of the facial nerve; temporal, zygomatic, buccal, marginal mandibular and cervical. Beyond the parotid gland, there is a significant arborisation and interconnection of these divisions resulting in a degree of functional overlap between branches. The facial nerve controls all the superficial facial musculature and therefore controls the appearance of the face, the ability to show expression and most of the functions about forehead, eye, cheeks and mouth (see Table 1). The main complaint in lower facial paralysis, as is the case of individuals with Bell’s palsy, is the inability to smile. The most important muscles involved in smiling are zygomaticus major and levator labii superioris (Proctor, Corgill & Proud, 1976; Ghali, MacQuillan & Grobbellaar, 2010).

<table>
<thead>
<tr>
<th>Muscle</th>
<th>Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>Corrugator supercilii</td>
<td>Moves eyebrow downward and medially</td>
</tr>
<tr>
<td>Procerus</td>
<td>Wrinkles skin of the nose</td>
</tr>
<tr>
<td>Orbicularis oculi</td>
<td>Closes eye</td>
</tr>
<tr>
<td>Orbicularis oris</td>
<td>Closes mouth</td>
</tr>
<tr>
<td>Compressor naris</td>
<td>Compresses nasal cartilage</td>
</tr>
<tr>
<td>Dilator naris</td>
<td>Dilates nostrils</td>
</tr>
<tr>
<td>Levator labii superioris</td>
<td>Lifts upper lip</td>
</tr>
<tr>
<td>Zygomaticus major and minor</td>
<td>Raise and pull upper lip laterally</td>
</tr>
<tr>
<td>Levator anguli oris</td>
<td>Raise corner of the mouth</td>
</tr>
<tr>
<td>Risorius</td>
<td>Smiling (lateral pull on the corner of the mouth)</td>
</tr>
<tr>
<td>Depressor anguli oris</td>
<td>Pulls down the corner of the mouth</td>
</tr>
<tr>
<td>Depressor labii inferioris</td>
<td>Depresses lower lip</td>
</tr>
<tr>
<td>Mentalis</td>
<td>Wrinkles skin of the chin</td>
</tr>
<tr>
<td>Buccinator</td>
<td>Compresses mouth and keeps food between teeth when chewing</td>
</tr>
<tr>
<td>Frontalis</td>
<td>Raises eyebrows</td>
</tr>
<tr>
<td>Platysma</td>
<td>Moves skin of the neck</td>
</tr>
</tbody>
</table>

Table 1. Action of face muscles
Given the trend of evidence-based practice (Sackett et al., 2000), it has become important to establish which facial paralysis assessment and management strategies demonstrate significant answers and benefit, either with respect to function, aesthetic appearance, ability to communicate, or a combination of these. Patients presenting with facial paralysis usually undergo subjective assessments of facial function (House & Brackmann, 1985; Berg et al., 2004), standard photographic documentation, and sometimes videographic imaging (Hadlock, 2008). As a general rule, individual practitioners have selected specific grading scales based on training, exposure and institutional experience, rather than clinical relevance to outcomes. Although these procedures may provide an accurate depiction of a patient’s level of facial paresis, they do not address how this handicap may affect a patient’s quality of life.

The psychological literature on the subject of facial disfigurement has consistently shown that those with facial disfigurement do experience psychological and social problems, resulting in fear of public places and impaired socialization (Tate & Tollefson, 2006; Bradbury, Simons & Sanders, 2006; Hadlock, 2008). There is evidence in the literature that individuals with facial palsy experience marked psychological and social problems. Normal face-to-face communication can be interrupted by altered or diminished facial expressions (Keillor et al., 2002). The aesthetic impact of the disfigurement caused by facial palsy is exacerbated by impaired facial movement and, therefore the individual may try to restrict expressive facial movements to minimize the disfigurement. This can be interpreted as hostile by others, provoking aggressive responses, and can increase social anxiety and avoidance. In addition the individual may become self-conscious when eating or drinking because of functional problems (Bradbury, Simons & Sanders, 2006). Symptoms can range from altered emotional well-being, decreased self-esteem, anxiety, depression, and alternative behaviors such as social isolation and addiction (Ross et al., 1991; VanSwearingen & Brach, 2003; Finn et al., 2003; Hadlock, 2008). In this sense, self-report scales are of extreme importance in order to enhance the assessment of facial neuromuscular dysfunction. Although the clinical recognition of the above mentioned problems exist, very few studies using self-reports as a form to control the outcome of treatment were found in the literature (Salles et al., 2009).

As reported in studies about disfigurement, psychological distress rather than functional impairment has been found to be the most significant predictor of social disability in patients with hemi-facial palsy (VanSwearingen, Turnbull & Mrzai, 1998). VanSwearingen and Brach (1996) have pointed that patients with facial neuromuscular dysfunction have disability associated with the disorder. The terms impairment and disability are defined within the World Health Organization’s International Classification of Impairments, Disabilities, and Handicaps (Barbotte et al., 2001). Impairments refer to any physiologic or anatomic abnormalities at the organ or tissue system level, such as muscle weakness. Disabilities are person-level problems characterized by the inability to perform any of the activities considered usual for a human being, such as limitations in walking or limited ability to communicate. Wilson and Cleary (1995) suggested that the patient’s emotional well-being may influence the relationship between impairment and disabilities.

To enhance the assessment of facial neuromuscular dysfunction beyond the impairment domain, VanSwearingen and Brach (1996) developed a disability assessment instrument, the Facial Disability Index (FDI). The FDI is a self-report, disease-specific instrument designed to provide the clinician with information about the disability and related social and emotional well-being of patients with facial nerve palsy. According to the authors, the FDI
can be used as an initial assessment tool and as a monitoring instrument, providing the clinician with the patient’s view of the outcome of the intervention in progress. The FDI is composed by two subscales: Physical Function and Social/well-being function. The Physical Function subscale involves questions related to difficulty in eating, difficulty in drinking, difficulty in speaking, excessive tearing or drying of the eye and difficulty in brushing/rinsing the teeth. The Social/well-being subscale involves questions related to feeling calm and peaceful, isolating self from people, feeling irritable toward those around, waking up early or several times at night and avoiding going out to eat, shop or participating in social activities.

Besides proposing the FDI, the authors also examined the reliability and validity of the questionnaire. The questionnaire was administered to 46 ambulatory patients with facial palsy. The results of the study indicated that the FDI subscales produced reliable scores and that the subscale of Physical Function presented a higher reliability when compared with that of Social/well-being. The authors argue that this result may be due to the fact that the facial functions assessed are largely behaviors that necessarily occur more than once a day. The authors also found a significant association of the FDI subscales with the clinical measures of facial movement and psychosocial status. Other studies have been conducted trying to correlate measures of impairment and disability using the FDI. Overall, these studies indicate a positive correlation between impairment and disability measures in patients with facial nerve paralysis (VanSwearigen et al., 1998; Coulson et al., 2004).

The most important, impeding need to improve the clinical care of the facial paralysis patient is an objective, quantitative, comprehensive evaluation of function. In this sense, surface electromyography (sEMG) can give clinicians valuable information because it provides easy access to physiological processes that cause muscle to generate force, produce movement and accomplish the countless functions which allow us to interact with the world around us (De Luca, 1997).

Studies have already been successful in reporting the outcome of neuromuscular retraining in combination with sEMG (Daniel & Guitar, 1978; Balliet et al., 1982; May et al., 1989; Ross et al., 1991; Segal et al., 1995; Brach et al., 1997; Cronin & Steenerson, 2003; VanSwearingen & Brach, 2003; Vaiman et al., 2005). Biofeedback sEMG instruments are essentially general purpose physiological monitoring devices that are designed to provide ongoing information about physiological function, such as muscle tension level (Vaiman et al., 2005). Using neuromuscular retraining in combination with sEMG is based on the plasticity of the central nervous system. The brain is capable of reorganizing using the visual and/or auditory feedback provided by sEMG (Cronin & Steenerson). Literature points that the therapeutic use of sEMG helps patients to develop selective muscle control and decreases synkinesis. No studies were found in the consulted literature related to the use of sEMG in the assessment of impairment caused by facial paralysis.

Although facial movement and muscle activity can be quantified using one of several techniques (House & Brackmann, 1985; Kayhan et al., 2000; Linstrom et al., 2000; Linstrom, 2002; Kang et al., 2002; Mehta et al., 2008) and facial disability can be quantified using self-report instruments (VanSwearingen & Brach, 1996), a relationship between objective and subjective measurements has not yet been described. The purpose of this study was to correlate electromyographic data of the anguli oris elevators to the facial disability index in long standing facial paralysis patients. We hypothesized that individuals with greater facial asymmetry (i.e. lower readings on surface electromyography) would present lower scores on the facial disability index.
2. Methods and materials

The population in this study was defined as 17 patients (mean age 42 years; age range from 35 to 60), all females, with unilateral facial nerve paralysis. All of the patients presented static and/or dynamic facial asymmetry. Patients who presented systemic or neuromuscular disease, impaired cognition and asymmetry due to craniofacial deformities were excluded from the research. A control group with 17 normal volunteers, matched for age and gender to the research group, was also included in the study. All of the selected individuals, in the research and control groups, signed an informed consent approved by the review board and ethics committee of the institution (CAPPesq HCFMUSP no 0201/08).

Inclusion criteria for the research group:

a. to present medical diagnosis of long-standing unilateral peripheral facial paralysis, i.e. with more than two years since the beginning of symptoms, with or without previous treatment by surgical methods (reconstruction or reanimation);

b. to present scores between 4 and 11 on the Clinical Score for Facial Palsy Protocol (Salles et al., 2009).

<table>
<thead>
<tr>
<th>Category</th>
<th>N.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Acoustic neuroma</td>
<td>2</td>
</tr>
<tr>
<td>Bell’s palsy</td>
<td>12</td>
</tr>
<tr>
<td>Facial nerve trauma (temporal/basilar skull fractures)</td>
<td>3</td>
</tr>
</tbody>
</table>

Table 2. Participants’ distribution per diagnostic categories of facial dysfunction – Research group

Inclusion criteria for the control group:

a. to present no medical history of facial paralysis or head and neck trauma;

b. to present scores between 19 and 20 on the Clinical Score for Facial Palsy Protocol (Salles et al., 2009).

Procedure

Clinical score for facial palsy protocol

Facial symmetry was evaluated by two staff members. As already described in the literature, with this scale every voluntary movement for both sides of the face is evaluated independently, as well as the involuntary (emotional) motion. The protocol is composed by three sections: force of voluntary movement (eyebrow raise, eyelid closure, upper lip elevation - sniff, upper-lateral traction of lips, horizontal traction of lips; lip closure; lower lip depression); force of involuntary movements (eyelid closure when blinking, when speaking; when spontaneously smiling); negative findings (eyelid deformity at rest, lip deformity at rest; synkinesis/spasms). Movements were graded as absent (0), partial (1), or full (2). Negative aspects received negative scores ((0) absent, (-1) moderate, (-2) pronounced). The sum of individual scores gives a final score that ranges up to 20 points, or 100%.

Surface electromyography (sEMG) evaluation

One muscle group was examined in the study: the anguli oris elevators (zygomaticus major and minor, levator anguli oris). This muscle group was selected because it is directly involved in the action of smiling.
All EMG recordings were made using standard surface sensors (SDS500). We used the Miotool 400 (Miotec® Biomedical Equipments, Brazil) 4-channel computer-based system and disposable double electrodes (SDS 500 Ag/AgCl, contact surfaces with 10mm diameter). This EMG system has wide bandpass filter, bandwidth (RMS) 20 to 500 Hz and a 60-Hz notch filter. The system uses the Active Electrode, a compact sensor assembly that includes a miniaturized instrument preamplifier. Locating the amplifier at the electrode site allows artifacts to be canceled and the signal boosted before being transferred down the electrode cable (noise level < 5µV RMS). Each EMG record was full-wave and low-passed filtered. The computer program indicates mean, SD, minimum, maximum and range of muscle activity during each trial. Muscle activity (EMG) was quantified in microvolts (µV).

The interelectrode distance was 10mm; two sets of two bipolar pre-gelled stick-on surface electrodes were applied to the skin on each side of the face, over the anguli oris elevators to record myoelectrical activity during the production of a voluntary smile (Figure 1). This electrode arrangement had a third electrode as ground positioned on the right wrist. Electrical impedance at the sites of electrode contact was reduced because skin was scrubbed with alcohol gauze pads.

Fig. 1. Electrode placement

At first, mean electric activity of resting muscle anguli oris elevators was obtained. Following this, three tests of voluntary smiles were examined.

Step 1: Participants remained seated with their heads positioned horizontally according to the Frankfort plane. After the pairs of EMG electrodes were placed over the skin each participant was instructed to remain quiet and relaxed for the period of 1 minute. Three separate recordings of resting condition were made, with the duration of 30 seconds each.

Step 2: Each participant was asked to smile for 5 seconds and then relax for 5 seconds, three times. Participants were instructed to avoid sharp head movements. The request to begin sEMG recording was provided only when the sEMG baseline activity returned to resting levels.
sEMG data analysis

Surface EMG traces were evaluated for onset, peak and offset of activity during smiling events (Figure 2). Onset was identified as the point of upward excursion of the sEMG trace from resting baseline that led into the smiling event. Peak was the highest amplitude point of the sEMG smile trace. Offset was the point at which sEMG activity returned to baseline. Computer software calculated the mean value of the action potential during the movements (onset-peak-offset). In order to compare the results between participants, sEMG amplitude values were normalized relative to rest in order to give evidence to possible differences in symmetry.

![sEMG analysis](image)

*Note: A – sEMG smile trace of the nonparalyzed side; B – sEMG smile trace of the paralyzed side

Fig. 2. sEMG smile trace

sEMG data reliability

Because subjective judgment was used for sEMG measures, interjudge reliability was estimated. To establish interjudge reliability of the measurements used in the study, a second experienced staff member, who was blinded to the original results, measured the same parameters of 30 randomly selected samples from the 204 total smiling events. Intraclass correlation coefficients were high for all comparisons (range of lower 95% confidence interval [CI] = .9788-.9965), suggesting strong consistency between examiners.
Facial Disability Index (FDI)

The FDI is a brief self-report questionnaire of physical disability and psychosocial factors related to facial neuromuscular function (VanSwearingen & Brach, 1996). It is designed to provide an account of the patient’s daily experience of living with a facial nerve disorder. It has two subscales: the Social/Well-Being Function subscale (SWBF), that contains items related to the psychological and social role aspects, and the Physical Function subscale (PF), with items that evaluate difficulties with daily activities (e.g. brushing the teeth, eating or drinking). Only participants of the research group answered the questionnaire.

Data analysis

Statistical analysis included paired-samples T-test and Spearman coefficient correlation, with a significance level of 0.05. The coefficient of asymmetry between both sides of the face during rest and muscle contraction was calculated for both groups as follows: research group – ratio Nonparalyzed side/Paralyzed side; control group - Right side/Left side.

3. Results

Surface Electromyography (sEMG) Evaluation

Differences were observed between the research and control groups. Descriptive statistics indicate that the research group presented lower electromyographic values for the resting and smiling conditions than the control group. Greater variability among the recordings was observed for the similing condition for both groups (Table 3).

<table>
<thead>
<tr>
<th>Group</th>
<th>Condition</th>
<th>Side</th>
<th>Minimum</th>
<th>Maximum</th>
<th>Mean</th>
<th>SD</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Resting</td>
<td>P (raw data)</td>
<td>2.4</td>
<td>7.30</td>
<td>4.36</td>
<td>1.45</td>
</tr>
<tr>
<td></td>
<td></td>
<td>NP (raw data)</td>
<td>2.2</td>
<td>6.80</td>
<td>3.55</td>
<td>1.07</td>
</tr>
<tr>
<td></td>
<td></td>
<td>P (raw data)</td>
<td>4.7</td>
<td>59.70</td>
<td>20.41</td>
<td>14.13</td>
</tr>
<tr>
<td>Research</td>
<td>Smiling</td>
<td>P (normalized data)</td>
<td>1.75</td>
<td>12.19</td>
<td>4.57</td>
<td>2.59</td>
</tr>
<tr>
<td></td>
<td></td>
<td>NP (raw data)</td>
<td>15.03</td>
<td>183.80</td>
<td>61.67</td>
<td>46.77</td>
</tr>
<tr>
<td></td>
<td></td>
<td>NP (normalized</td>
<td>4.42</td>
<td>65.64</td>
<td>18.39</td>
<td>15.37</td>
</tr>
<tr>
<td></td>
<td></td>
<td>data)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Resting</td>
<td>R (raw data)</td>
<td>2.21</td>
<td>17.00</td>
<td>6.12</td>
<td>3.40</td>
</tr>
<tr>
<td></td>
<td></td>
<td>L (raw data)</td>
<td>2.25</td>
<td>9.78</td>
<td>5.59</td>
<td>2.35</td>
</tr>
<tr>
<td>Control</td>
<td>Smiling</td>
<td>R (raw data)</td>
<td>15.92</td>
<td>99.26</td>
<td>66.52</td>
<td>23.39</td>
</tr>
<tr>
<td></td>
<td></td>
<td>L (raw data)</td>
<td>21.51</td>
<td>98.75</td>
<td>67.79</td>
<td>19.88</td>
</tr>
<tr>
<td></td>
<td></td>
<td>R (normalized data)</td>
<td>5.84</td>
<td>65.10</td>
<td>15.70</td>
<td>13.57</td>
</tr>
<tr>
<td></td>
<td></td>
<td>L (normalized data)</td>
<td>6.38</td>
<td>40.13</td>
<td>14.33</td>
<td>8.99</td>
</tr>
</tbody>
</table>

*Note: SD – standard deviation; NP – nonparalyzed side; P – paralyzed side; R – right side; L – left side.

Table 3. Surface electromyography descriptive statistics (µV)
When comparing both groups, participants of the research group presented a significant difference between both sides of the face for the resting ($p=0.041; T=2.225$) and smiling ($p=0.001; T=-4.151$) conditions. The coefficient of asymmetry was higher for the smiling condition (resting condition mean $1.28\pm0.42$; smiling condition mean $11.48\pm8.36$). On the other hand, participants of the control group did not present significant difference between the right and left sides of the face for the resting ($p=0.373; T=0.917$) and smiling ($p=0.735; T=0.344$) conditions. As observed for the research group, the control group also presented a higher coefficient of asymmetry for the smiling condition (resting condition mean $1.10\pm0.36$; smiling condition mean $15.02\pm8.05$).

In order to verify differences between facial asymmetry, the coefficient of asymmetry obtained for the resting and smiling conditions for both groups were compared (Figure 3). Statistical analyses indicated no differences between the groups for the resting ($p=0.3; T=-1.288$) and for the smiling condition ($p=0.848; T=1.235$).

*Note: NP:P – ratio nonparalyzed side/paralyzed side; R:L- ratio right side/left side

**Fig. 3. Coefficients of Asymmetry – Between groups comparison**

**Facial Disability Index (FDI)**

Only participants of the research group answered the Facial Disability Index. The mean Physical Function (PF) subscale value of the FDI was of 70 (Minimum 50; Maximum 95) and of the Social/Well-Being Function (SWBF) subscale was of 68 (Minimum 40; Maximum 92).

Spearman coefficient correlation was performed in order to verify possible associations between the subscales of the FDI and between the coefficient of asymmetry (sEMG) and the subscales of the FDI. Figure 4 shows data dispersion and Table 4 and 5 shows the correlation results between the coefficient of asymmetry for resting and smiling and the FDI subscales.
Fig. 4. Correlation between FDI subscales and sEMG - data dispersion

<table>
<thead>
<tr>
<th>Question</th>
<th>Correlation Coefficient</th>
<th>Significance</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Difficulty eating</td>
<td>.317</td>
<td>.215</td>
</tr>
<tr>
<td>2. Difficulty drinking from a cup</td>
<td>.392</td>
<td>.119</td>
</tr>
<tr>
<td>3. Difficulty speaking</td>
<td>.448</td>
<td>.071</td>
</tr>
<tr>
<td>4. Excessive tearing or drying of the eye</td>
<td>.122</td>
<td>.642</td>
</tr>
<tr>
<td>5. Difficulty brushing/rinsing teeth</td>
<td>.300</td>
<td>.242</td>
</tr>
<tr>
<td>Total</td>
<td>.428</td>
<td>.087</td>
</tr>
</tbody>
</table>

**PF**

<table>
<thead>
<tr>
<th>Question</th>
<th>Correlation Coefficient</th>
<th>Significance</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Feel calm and peaceful</td>
<td>.334</td>
<td>.190</td>
</tr>
<tr>
<td>2. Isolate self from people</td>
<td>.269</td>
<td>.296</td>
</tr>
<tr>
<td>3. Irritable toward those around you</td>
<td>.321</td>
<td>.210</td>
</tr>
<tr>
<td>4. Wake up early, wake up several times</td>
<td>-.060</td>
<td>.819</td>
</tr>
<tr>
<td>5. Avoid going out to eat, shop or participate in social activities</td>
<td>.147</td>
<td>.573</td>
</tr>
<tr>
<td>Total</td>
<td>.324</td>
<td>.205</td>
</tr>
</tbody>
</table>

**SWBF**

*Note: PF – Physical Function; SWBF – Social/Well being function*

Table 4. Spearman correlation between Facial Asymmetry Coefficient for Resting and Scores on the FDI subscales
### Table 5. Spearman correlation between Facial Asymmetry Coefficient for Smiling and Scores on the FDI subscales

<table>
<thead>
<tr>
<th>Question</th>
<th>Correlation Coefficient</th>
<th>Significance</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Difficulty eating</td>
<td>.384</td>
<td>.129</td>
</tr>
<tr>
<td>2. Difficulty drinking from a cup</td>
<td>-.266</td>
<td>.302</td>
</tr>
<tr>
<td>3. Difficulty speaking</td>
<td>.133</td>
<td>.610</td>
</tr>
<tr>
<td>4. Excessive tearing or drying of the eye</td>
<td>.344</td>
<td>.177</td>
</tr>
<tr>
<td>5. Difficulty brushing/rinsing teeth</td>
<td>.453</td>
<td>.068</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>.333</td>
<td>.192</td>
</tr>
<tr>
<td>1. Feel calm and peaceful</td>
<td>.571*</td>
<td>.017</td>
</tr>
<tr>
<td>2. Isolate self from people</td>
<td>-.186</td>
<td>.476</td>
</tr>
<tr>
<td>3. Irritable toward those around you</td>
<td>-.031</td>
<td>.907</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>.276</td>
<td>.284</td>
</tr>
</tbody>
</table>

*Note: PF – Physical Function; SWBF – Social/Well being function; * significant results

Results indicate a significant correlation between the facial asymmetry coefficient for smiling and question one on the SWBF (p=.017). Individuals who presented greater differences in sEMG amplitude values when comparing the paralyzed and nonparalyzed sides of the face, tended to present lower scores on the question related to feeling calm and peaceful. No other significant correlations were observed.

### 4. Discussion

This study analyzed the correlation between electromyographic data of the anguli oris elevators (smile) to the scores obtained in a self-report questionnaire (FDI) in long standing facial paralysis patients. Our results indicated a single correlation between objective (sEMG) and self-assessment measurements; therefore our hypothesis was not confirmed. Although there was a wide variation for muscle activation among the tested individuals (sEMG), our results also indicated that individuals with facial paralysis presented significant differences between the paralyzed and nonparalyzed sides of the face, whereas healthy individuals presented no such differences. These results indicating muscle activation differences between the paralyzed and nonparalyzed side of the face have already been reported in the literature and indicate that the integrity of the facial nerve is essential for the balance and symmetry when producing facial mimetic expressions (Deleyiannis et al., 2005; Salles et al., 2009).
Facial paralysis causes both anatomical and physiological changes. Asymmetries can be caused not only by weaker muscle contractions on the paralyzed side, but also by overactivation of the nonparalyzed side (Pennock et al., 1999). A few consequences tend to appear four months after the onset, with muscle contractures and hypertrophy in association with synkinesis (Diels, 2000). A limiting factor is the viability of the facial muscles, generally because 12 months after nervous degeneration, muscles suffer atrophy very rapidly. In our study, the nonparalyzed side of the face of the research group was approximately 26% less active when compared to the muscle activation of the control group during the smiling condition (comparison for normalized data).

Management of long-standing facial paralysis has been controversial. The inability to smile effectively has been a primary motivator for surgical and nonsurgical management of the face after facial nerve palsy (Ross et al., 1991; Pennock et al., 1999; Croxson et al., 2000; Diels, 2000; VanSwearingen & Brach, 2003; Beurskens, 2004; Vaiman et al., 2005; Coulson et al., 2006; Tate & Tollefson, 2006; Salles et al., 2009). Nonsurgical management include application of botulinum toxin, physiotherapy techniques and muscle retraining such as mime therapy, electromyographic biofeedback and specific facial exercises. Problematically, even well-designed studies often reflect differing results, based on the inherent difficulty in precisely measuring recovery (Pinna, Testa & Fukuda, 2004; Beurskens, Heymans & Oostendorp, 2006; Hadlock, 2008; Ghali, MacQuillan & Grobbelaar, 2011). Once the field of facial nerve management reaches a consensus on quantitative facial function analysis and global results comparisons, the role of muscle retraining techniques will be clarified.

Literature has shown that the normal human face presents 6% of asymmetry during the production of facial mimetic expressions (Burres, 1985). These differences were observed when combining linear measurements and integrated invasive electromyography (iEMG) and would correspond to asymmetries in anatomy, in muscle contraction caused by non-balanced forces and due to differences in measuring techniques. Our study found no such difference in muscle activation when comparing the right and left sides of the face in healthy individuals. However, the coefficient of asymmetry suggests some degree of facial asymmetry in the control group. Surface electromyography is less specific for measuring muscle activation than invasive electromyography, this will probably account for the differences in result in our study and the later. According to Castroflorio et al. (2006), methodological factors associated with the recording of sEMG may have been the cause of controversial results reported in different studies developed with muscles of the head and neck. Although no studies using sEMG for the assessment of facial paralysis where found in the literature and despite the problems with methodological difficulties inherent to use of sEMG, applications of this technological device in facial muscles seem to be promising. Moreover, technological advances in signal detection and processing have improved the quality of the information extracted from sEMG and furthered our understanding of the anatomy and physiology of the stomatognathic apparatus.

Although the literature points that FDI subscales produce reliable measurements for reporting outcomes for individuals with disorders of the facial motor system (VanSwearingen & Brach, 2003) and even to report treatment outcomes (Salles et al., 2009), our study found no correlation between these subscales and objective measurements. This fact should be carefully examined. In our study we only measured muscle activity of the anguli oris elevators (zygomaticus major and minor, levator anguli oris), mostly related to smiling. Questions on the Physical Function subscale are largely related to the functions
developed by the orbicularis oris and buccinators, the investigation of these muscles should be explored in futures studies of facial paralysis using sEMG.

The only significant correlation observed was between facial asymmetry and the question related to feeling calm and peaceful on Social/Well-being Function subscale. The literature points that facial nerve dysfunction can be a devastating handicap. Facial nerve dysfunction may be classified into two components: facial impairment which describes the anatomical abnormality, and facial disability which pertains to the functional and social deficits caused by the impairments (Saito & Cheung, 2010). Studies have shown a significant relationship of acquired facial palsy with depression, anxiety and high levels of psychological stress (Bull, 1998; VanSwearingen et al., 1998; Bradbury, Simons & Sanders, 2006).

5. Conclusion

The use of modern scientific techniques of data analysis, such as the use of sEMG, combined with self-report measurements most certainly offers great promise to clinicians and their patients. The FDI seems the capture information that goes beyond strict muscle function, i.e. the impairment rather than the function level. The combination of different measurements in randomized trials examining whether, or what type of therapy design offers optimal benefit to patients suffering from facial paralysis should be our future initiative.

6. Acknowledgements

This research was supported by grant from FAPESP (Process no. 2008/02687-5)

7. References


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Applications of EMG in Clinical and Sports Medicine
Edited by Dr. Catriona Steele

Hard cover, 396 pages

Publisher InTech
Published online 11, January, 2012
Published in print edition January, 2012

This second of two volumes on EMG (Electromyography) covers a wide range of clinical applications, as a complement to the methods discussed in volume 1. Topics range from gait and vibration analysis, through posture and falls prevention, to biofeedback in the treatment of neurologic swallowing impairment. The volume includes sections on back care, sports and performance medicine, gynecology/urology and orofacial function. Authors describe the procedures for their experimental studies with detailed and clear illustrations and references to the literature. The limitations of SEMG measures and methods for careful analysis are discussed. This broad compilation of articles discussing the use of EMG in both clinical and research applications demonstrates the utility of the method as a tool in a wide variety of disciplines and clinical fields.

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Phone: +86-21-62489820
Fax: +86-21-62489821